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## How to Enrich the Message Space of a Cipher

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### Abstract

Given (deterministic) ciphers  $\mathcal{E}$  and  $E$  that can encipher messages of  $l$  and  $n$  bits, respectively, we construct a cipher  $\mathcal{E}^* = \text{XLS}[\mathcal{E}, E]$  that can encipher messages of  $l + s$  bits for any  $s < n$ . Enciphering such a string will take one call to  $\mathcal{E}$  and two calls to  $E$ . We prove that  $\mathcal{E}^*$  is a strong pseudorandom permutation as long as  $\mathcal{E}$  and  $E$  are. Our construction works even in the tweakable and VIL (variable-input-length) settings. It makes use of a multipermutation (a pair of orthogonal Latin squares), a combinatorial object not previously used to get a provable-security result.

**Key words:** Deterministic encryption, enciphering scheme, symmetric encryption, length-preserving encryption, multipermutation.

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# 1 Introduction

DOMAIN EXTENSION. Consider a cryptographic scheme with a message space  $\mathcal{M} = \bigcup_{l \in \mathcal{L}} \{0, 1\}^l$  for some set  $\mathcal{L}$  of *permissible message lengths*. The scheme can handle any message of  $l \in \mathcal{L}$  bits but it can't handle messages of  $l^* \notin \mathcal{L}$  bits. Often the set of permissible message lengths  $\mathcal{L}$  is what worked out well for the scheme's *designers*—it made the scheme simple, natural, or amenable to analysis—but it might not be ideal for the scheme's *users* who, all other things being equal, might prefer a scheme that works across arbitrary-length messages. To address this issue, one may wish to *extend* the scheme to handle more message lengths. Examples are extending CBC encryption using ciphertext stealing [21] and extending a pseudorandom function  $F$  with message space  $(\{0, 1\}^n)^+$  by appropriately padding the message and calling  $F$ .

Our work is about extending the domain of a *cipher*. When we speak of a *cipher* in this paper we mean a *deterministic* map  $\mathcal{E}: \mathcal{K} \times \mathcal{M} \rightarrow \mathcal{M}$  where  $\mathcal{M} = \bigcup_{l \in \mathcal{L}} \{0, 1\}^l$  and  $\mathcal{E}_K(\cdot) = \mathcal{E}(K, \cdot)$  is a *length-preserving permutation*. Such an object is also called an *enciphering scheme*, a *pseudorandom permutation*, an *arbitrary-input-length blockcipher*, or a *deterministic cipher* / encryption scheme. Our goal is to extend a cipher  $\mathcal{E}: \mathcal{K} \times \mathcal{M} \rightarrow \mathcal{M}$  with permissible message lengths  $\mathcal{L}$  to a cipher  $\mathcal{E}^*: \mathcal{K}^* \times \mathcal{M}^* \rightarrow \mathcal{M}^*$  with an enlarged set  $\mathcal{L}^* \supseteq \mathcal{L}$  of permissible message lengths. Being an *extension* of  $\mathcal{E}$ , what  $\mathcal{E}^*$  does on a string of length  $l \in \mathcal{L}$  and key  $\langle K, K' \rangle$  must be identical to what  $\mathcal{E}$  would do on key  $K$ . Note that padding-based methods will not work: even if there *is* a point in the message space of  $\mathcal{E}$  that one can pad a plaintext to, padding  $M$  to  $M^*$  and then applying  $\mathcal{E}$  would be length-increasing, and so not a cipher. Unlike signatures, MACs, pseudorandom functions, and semantically secure encryption, there is no obvious way to extend a cipher's domain.

OUR CONTRIBUTION. We show how, with the help of an  $n$ -bit blockcipher  $E$ , to extend a cipher's set of permissible message lengths from  $\mathcal{L} \subseteq [n .. \infty)$  to  $\mathcal{L}^* = \mathcal{L} + [0 .. n - 1] = \{\ell + i \mid \ell \in \mathcal{L} \text{ and } i \in [0 .. n - 1]\}$ . In other words, we enlarge the message space from  $\mathcal{M}$  to  $\mathcal{M}^* = \mathcal{M} \parallel \{0, 1\}^{<n}$  where  $\mathcal{M} \subseteq \{0, 1\}^{\geq n}$ .

We call our construction XLS (eXtension by Latin Squares). Its overhead is two blockcipher calls, eight xor instructions, and two one-bit rotations. This is the work beyond enciphering (or deciphering) a single  $l$ -bit string that is needed to encipher (or decipher) an  $l + s$  bit one, where  $s \in [1 .. n - 1]$ . If the message is in the original domain there is no overhead beyond determining this. As an example, if  $\mathcal{E} = E$  is an  $n$ -bit blockcipher then it will take three blockcipher calls to encipher a  $2n - 1$  bit string.

The XLS method is described in Figure 1. For a message  $M$  already in the domain of  $\mathcal{E}$ , just apply it. Otherwise, suppose that  $M$  has length  $l + s$  where  $l \in \mathcal{L}$  and  $s \in [1 .. n - 1]$ . To encipher  $M$ : apply the blockcipher  $E$  to the last full  $n$ -bit block of  $M$ ; mix together the last  $2s$ -bits; flip the immediately preceding bit; encipher under  $\mathcal{E}$  the first  $l$  bits; mix together the last  $2s$ -bits; flip the immediately preceding bit; then apply  $E$  to the last full  $n$ -bit block. Our recommended instantiation of the mixing step uses three xors and a single one-bit circular rotation.

We prove that XLS works. More specifically, if  $\mathcal{E}$  is secure in the sense of a strong pseudorandom permutation (a strong PRP) [17] then  $\mathcal{E}^*$  inherits this property. This assumes that the blockcipher  $E$  is likewise a strong PRP. The result holds even in the variable-input-length (VIL) setting [3]: if  $\mathcal{E}$  is VIL-secure then so is  $\mathcal{E}^*$ . See Theorem 3. It also holds in the tweakable-enciphering-scheme setting [16]: if  $\mathcal{E}$  is tweakable then  $\mathcal{E}^*$  inherits this. See Section 8. If one makes the weaker assumption that  $\mathcal{E}$  and  $E$  are ordinary (not necessarily strong) PRPs, then one can conclude that  $\mathcal{E}^*$  is a PRP. See Section 9.

While XLS is relatively simple, it is surprisingly delicate. We show that natural alternative

ways of mixing do not work. We show that omitting the bit flip does not work. And attempting to get by without any mixing—say by enciphering the last  $n$  bits, the first  $l$  bits, then the last  $n$  bits—doesn’t work even if one demands that the “overlap” in what is enciphered is  $n/2$  bits: there is an attack of complexity  $2^{n/4}$ .

All that said, we develop sufficient conditions on the mixing function that *are* enough to guarantee security, and we provide a mixing function based on multipermutations (also called orthogonal Latin squares [7]). Though conceptually elegant, implementing multipermutations in this setting is slightly complicated, so we provide an alternate mixing function that approximates multipermutations via bit rotations. This comes at the (insignificant) cost of a slightly larger constant in the security reduction. XLS is the first mode of operation to employ multipermutations or approximate multipermutations to yield a provable-security guarantee. Indeed, such mixing functions may prove to be useful in further provable-security contexts.

We comment that we cannot handle messages of length less than  $n$  bits (the blocklength of the blockcipher that we use)—for example, we don’t know how to encipher a 32-bit string using AES (in an efficient way and with a known and desirable security bound). This is a long open problem [6, 12].

RELATED WORK. There are several known methods for turning a blockcipher with message space  $\mathcal{M} = \{0, 1\}^n$  into a cipher with some message space  $\{0, 1\}^{\geq n}$ . Halevi does this in his EME\* and TET constructions [12, 13]; Fluhrer and McGrew do it (without a provable-security guarantee) with XCB [20]; Wang, Feng, and Wu do it in HCTR [29]; and Chakraborty and Sarkar do it in HCH [9]. All of these constructions are somewhat complex, and their methods for dealing with “inconvenient-length” strings are non-generic. Constructions of ciphers from  $n$ -bit blockciphers that result in a message space like  $(\{0, 1\}^n)^+$  are offered by Zheng, Matsumoto, and Imai [30], Naor and Reingold [22], Halevi and Rogaway [14, 15], Patel, Ramzan, and Sundaram [24], and Chakraborty and Sarkar [8]. One can even view Luby and Rackoff [17] in this light.

Anderson and Biham [2] and Lucks [18, 19] make a wide-blocksize cipher out of a stream cipher and a hash function, and Schroepel provides a cipher [27] that works on an arbitrary message space *de novo*.

When  $\mathcal{E} = E$  is an  $n$ -bit blockcipher, the XLS construction solves the *elastic blockcipher* problem of Cook, Yung, and Keromytis [10, 11], where one wants to extend a blockcipher from  $n$  bits to  $[n..2n - 1]$  bits. The Cook *et. al* solution is heuristic—there is no proof of security—but with XLS we have, for example, an “elastic AES” that provably preserves the security of AES.

When a cipher like CMC or EME [14, 15] plays the role of  $\mathcal{E}$  in XLS, one gets a cipher with efficiency comparable to that of a mode like EME\* [12].

Bellare and Rogaway first defined VIL ciphers [3] and built one (although it is not secure as a *strong* PRP). An and Bellare [1] offer the viewpoint that cryptographic constructions are often aimed at adjusting the domain of a primitive. This viewpoint is implicit in our work.

APPLICATIONS. While primarily interested in the “theoretical” question of how to accomplish domain extension for ciphers, arbitrary-input-length enciphering is a problem with many applications. A well-known application is disk-sector encryption, the problem being addressed by the IEEE Security in Storage Work Group P1619. Another application is saving bandwidth in network protocols: if one has a 53-byte payload to be enciphered, and no IV or sequence number to do it, the best that can be done without increasing the size of the datagram is to encipher this 53-byte string. A related application is the security-retrofitting of legacy communications protocols, where there is a mandated and immutable allocation of bytes in a datagram, this value not necessarily a multiple of, say, 16 bytes. Another application is in a database setting where it should be manifest when

two confidential database records are identical, these records having arbitrary length that should not be changed, but nothing else about the records should be leaked. Arbitrary-length enciphering enables bandwidth-efficient use of the encode-then-encipher paradigm of Bellare and Rogaway [4], where one gets authenticity by enciphering strings encoded with redundancy and semantic security by enciphering strings that rarely collide.

## 2 Preliminaries

**BASICS AND NOTATION.** For strings  $X, Y \in \{0, 1\}^*$ , we use  $X \parallel Y$  or  $X Y$  to denote concatenation. We write  $X[i]$  for  $i \in [1..|X|]$  to represent the  $i^{\text{th}}$  bit of  $X$  (thus  $X = X[1]X[2]\cdots X[s]$ ). The complement of a bit  $b$  is  $\text{flip}(b)$ . For a set  $\mathcal{C}$  and element  $X$  we write  $\mathcal{C} \stackrel{\cup}{\leftarrow} X$  for  $\mathcal{C} \leftarrow \mathcal{C} \cup \{X\}$ . We require that for any set of bit strings  $\mathcal{S} \subseteq \{0, 1\}^*$ , if  $X \in \mathcal{S}$  then  $\{0, 1\}^{|X|} \subseteq \mathcal{S}$ .

A *cipher* is a map  $\mathcal{E}: \mathcal{K} \times \mathcal{M} \rightarrow \mathcal{M}$  where  $\mathcal{K}$  is a nonempty set,  $\mathcal{M} \subseteq \{0, 1\}^*$  is a nonempty set, and  $E_K(\cdot) = E(K, \cdot)$  is a length-preserving permutation. The set  $\mathcal{K}$  is called the *key space* and the set  $\mathcal{M}$  is called the *message space*. We can view the message space as  $\cup_{l \in \mathcal{L}} \{0, 1\}^l$  where  $\mathcal{L} = \{l \mid \exists X \in \mathcal{M} \text{ s.t. } |X| = l\}$ . Let  $\mathcal{D}$  be the cipher with the same signature as  $\mathcal{E}$  and defined by  $\mathcal{D}_K(Y) = X$  iff  $\mathcal{E}_K(X) = Y$ . A *blockcipher* is a cipher with message space  $\mathcal{M} = \{0, 1\}^n$  for some  $n \geq 1$  (the blocksize). For  $\mathcal{M} \subseteq \{0, 1\}^*$  let  $\text{Perm}(\mathcal{M})$  be the set of all length-preserving permutations on  $\mathcal{M}$ . By selecting  $\mathcal{K} = \text{Perm}(\mathcal{M})$  we have a cipher for which a uniformly chosen permutation on  $\{0, 1\}^l$  is selected for each  $l \in \mathcal{L}$ . Let  $\text{Func}(\mathcal{M})$  be the set of all length-preserving functions on  $\mathcal{M}$ . Write  $\text{Perm}(\ell)$  and  $\text{Func}(\ell)$  for  $\text{Perm}(\{0, 1\}^\ell)$  and  $\text{Func}(\{0, 1\}^\ell)$ , respectively.

Let  $\mathcal{S} \subseteq \{0, 1\}^{\geq 1}$ . Then define  $\mathcal{S}^2 = \{XY \mid X, Y \in \mathcal{S} \wedge |X| = |Y|\}$ . Let  $f: \mathcal{S}^2 \rightarrow \mathcal{S}^2$  be a length-preserving function. We define the *left projection* of  $f$  as the function  $f_L: \mathcal{S}^2 \rightarrow \mathcal{S}$  where  $f_L(X)$  is equal to the first  $|X|/2$  bits of  $f(X)$ . We define the *right projection* of  $f$  as the function  $f_R: \mathcal{S}^2 \rightarrow \mathcal{S}$  where  $f_R(X)$  is equal to the last  $|X|/2$  bits of  $f(X)$ . Of course  $f(X) = f_L(X) \parallel f_R(X)$ .

When we say “Replace the last  $\ell$  bits of  $M$ , Last, by  $F(\text{Last})$ ” we mean (1) parse  $M$  into  $X \parallel \text{Last}$  where  $|X| = |M| - \ell$  and  $|\text{Last}| = \ell$ ; (2) let  $Z$  be  $F(\text{Last})$ ; and (3) replace  $M$  by  $X \parallel Z$ . We define the semantics of similar uses of “Replace ...” in the natural way.

The notation “ $XYZ \leftarrow M$  of lengths  $x, y, z$ ” for any string  $M$  with  $|M| = x + y + z$  means parse  $M$  into three strings of length  $x, y$ , and  $z$  and assign these values to  $X, Y$ , and  $Z$ , respectively. The notation is extended to the case of parsing  $M$  into two halves in the natural way.

Finally, an *involution* is a permutation  $g$  which is its own inverse:  $g(g(x)) = x$ .

**SECURITY NOTIONS.** When an adversary  $\mathcal{A}$  is run with an oracle  $\mathcal{O}$  we let  $A^{\mathcal{O}} \Rightarrow 1$  denote the event that  $\mathcal{A}$  outputs the bit 1. Let  $\mathcal{E}: \mathcal{K} \times \mathcal{M} \rightarrow \mathcal{M}$  be a cipher. Then we define the following advantages for an adversary  $\mathcal{A}$ :

$$\begin{aligned} \text{Adv}_{\mathcal{E}}^{\pm\text{prp}}(\mathcal{A}) &= \Pr\left[K \stackrel{\$}{\leftarrow} \mathcal{K} : A^{\mathcal{E}_K, \mathcal{D}_K} \Rightarrow 1\right] - \Pr\left[\pi \stackrel{\$}{\leftarrow} \text{Perm}(\mathcal{M}) : A^{\pi, \pi^{-1}} \Rightarrow 1\right] \\ \text{Adv}_{\mathcal{E}}^{\pm\text{prf}}(\mathcal{A}) &= \Pr\left[K \stackrel{\$}{\leftarrow} \mathcal{K} : A^{\mathcal{E}_K, \mathcal{D}_K} \Rightarrow 1\right] - \Pr\left[\rho, \sigma \stackrel{\$}{\leftarrow} \text{Func}(\mathcal{M}) : A^{\rho, \sigma} \Rightarrow 1\right] \end{aligned}$$

where the probabilities are over the choice of  $K$  or choice of  $\pi$  (resp.  $\rho, \sigma$ ) and the coins used by  $\mathcal{A}$ . The first experiment represents distinguishing  $\mathcal{E}$  and its inverse from a random length-preserving permutation and its inverse and the second experiment represents distinguishing  $\mathcal{E}$  and its inverse from two random length-preserving functions. In both settings, we demand that the adversary  $\mathcal{A}$ , given oracles  $f, g$ , does not repeat any query, does not ask  $g(Y)$  after receiving  $Y$  in response to some query  $f(X)$ , and does not ask  $f(X)$  after receiving  $X$  in response to some query  $g(Y)$ . Such forbidden queries are termed *pointless*.

While the above formalization allows *variable input length* (VIL) adversaries, we can also restrict adversaries to only query messages of a single length. We call such adversaries *fixed input length* (FIL) adversaries.

Informally, a cipher is called a “strong pseudorandom permutation” if no reasonable adversary  $\mathcal{A}$  can distinguish the enciphering and deciphering functions, randomly keyed, from a randomly selected permutation and its inverse:  $\text{Adv}_{\mathcal{E}}^{\pm\text{PRP}}(\mathcal{A})$  is small. Our theorems make concrete statements about this and so we will not have to formalize “reasonable” or “small.” Resources we pay attention to are the adversary’s maximum running time (which, by convention, includes the length of the program); the number of queries it asks; and the lengths of the queries. For any cipher  $\mathcal{E}$  with inverse  $\mathcal{D}$ , define  $\text{Time}_{\mathcal{E}}(\mu) = \max\{T_{\text{key}}, T_{\mathcal{E}}, T_{\mathcal{D}}\}$  where  $T_{\text{key}}$  is the maximum time required to generate a key  $L$  for the scheme,  $T_{\mathcal{E}}$  is the maximum time to run  $\mathcal{E}_L$  on a message of at most  $\mu$  bits, and  $T_{\mathcal{D}}$  is the maximum time to run  $\mathcal{D}_L$  on a ciphertext of at most  $\mu$  bits.

### 3 The XLS Construction

Fix a blocksize  $n$ . Let  $\mathcal{E}: \mathcal{K}_{\mathcal{E}} \times \mathcal{M} \rightarrow \mathcal{M}$  be a cipher with  $\mathcal{M} \subseteq \{0, 1\}^{\geq n}$  and let  $E: \mathcal{K}_E \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  be a blockcipher. Finally, define a length-preserving permutation  $\text{mix}: \mathcal{S}^2 \rightarrow \mathcal{S}^2$  where  $\mathcal{S} \supseteq \cup_{i=1}^{n-1} \{0, 1\}^i$ . Then we define a cipher  $\mathcal{E}^* = \text{XLS}[\text{mix}, \mathcal{E}, E]$  with key space  $\mathcal{K}^* = \mathcal{K}_{\mathcal{E}} \times \mathcal{K}_E$  and message space  $\mathcal{M}^* = \mathcal{M} \parallel \{0, 1\}^{<n}$ . For keys  $L \in \mathcal{K}_{\mathcal{E}}$  and  $K \in \mathcal{K}_E$  we have  $\mathcal{E}_{L,K}^*(\cdot) = \mathcal{E}^*((L, K), \cdot)$ . See Figure 1 for the definition.

Enciphering a message  $M$  with  $\mathcal{E}^* = \text{XLS}[\text{mix}, \mathcal{E}, E]$  is straightforward. If  $M \in \mathcal{M}$ , then simply apply  $\mathcal{E}$ . Otherwise, apply  $E$  to the last full  $n$ -bit block of  $M$  and replace those bits with the result. Then ‘mix together’ the last  $2s$  bits, again replacing the appropriate bits with the resulting mixture. Flip bit  $|M| - 2s$ , which is the first bit from the right not affected by  $\text{mix}$ . Apply  $\mathcal{E}$  to as many bits as possible, starting from the left. Finally, just repeat the first three steps in reverse order. Deciphering is equally simple, and in fact, if one implements  $\text{mix}$  with an involution, as we suggest, then the inverse of  $\mathcal{E}^*$  is just  $\mathcal{D}^* = \text{XLS}[\text{mix}, \mathcal{D}, D]$ .

Why, intuitively, should XLS work? “Working” entails that each output bit strongly depends on each input bit. Since  $\mathcal{E}$  presumably already does a good job of this we need only worry about mixing in the “leftover”  $s$  bits for  $M \notin \mathcal{M}$ . We mix in these bits utilizing the mixing function  $\text{mix}$ . But since  $\text{mix}$  will be a simple combinatorial object—it is unkeyed and will have no “cryptographic” property—we need to “protect” its input with the blockcipher. The “symmetrizing” of the protocol—repeating the blockcipher call and the mixing step in the reverse order so that lines  $03 \rightarrow 09$  are identical to lines  $09 \rightarrow 03$ —helps achieve *strong* PRP-security: each input bit must strongly depend on each output bit, as queries can be made in the forward *or* backward direction. Finally, the bit-flipping step is just a symmetry-breaking technique to ensure that different-length messages are treated differently.

If  $\text{mix}$  does a “good” job of mixing, then XLS will in fact be secure, as we prove in Section 6. But what is the meaning of “good,” and how do we make a mixing function that is simultaneously good, efficient, and easy to implement? We now turn towards answering these questions.

### 4 The Mixing Function

We now look at several possible ways of implementing  $\text{mix}$ , to build intuition on what properties are needed for the security of XLS. In the end we formally define, quantitatively, the sufficient condition of interest. For ease of exposition we will often silently parse the input to  $\text{mix}$  into its two

**Algorithm  $\mathcal{E}_{L,K}^*(M)$**

- 00 If  $M \in \mathcal{M}$  then return  $\mathcal{E}_L(M)$
- 01 Let  $l < |M|$  be largest number such that  $\{0, 1\}^l \subseteq \mathcal{M}$ ;  $s \leftarrow |M| - l$
- 02 If  $s \geq n$  or  $l < n$  then Return  $\perp$
- 03 Replace the last full  $n$ -bit block of  $M$ , LastFull, with  $E_K(\text{LastFull})$
- 04 Replace the last  $2s$  bits of  $M$ , Last, with  $\text{mix}(\text{Last})$
- 05 Replace the  $(|M| - 2s)$ 'th bit of  $M$ ,  $b$ , with  $\text{flip}(b)$
- 06 Replace the first  $l$  bits of  $M$ , First, with  $\mathcal{E}_L(\text{First})$
- 07 Replace the  $(|M| - 2s)$ 'th bit of  $M$ ,  $b$ , with  $\text{flip}(b)$
- 08 Replace the last  $2s$  bits of  $M$ , Last, with  $\text{mix}(\text{Last})$
- 09 Replace the last full  $n$ -bit block of  $M$ , LastFull, with  $E_K(\text{LastFull})$
- 10 Return  $M$

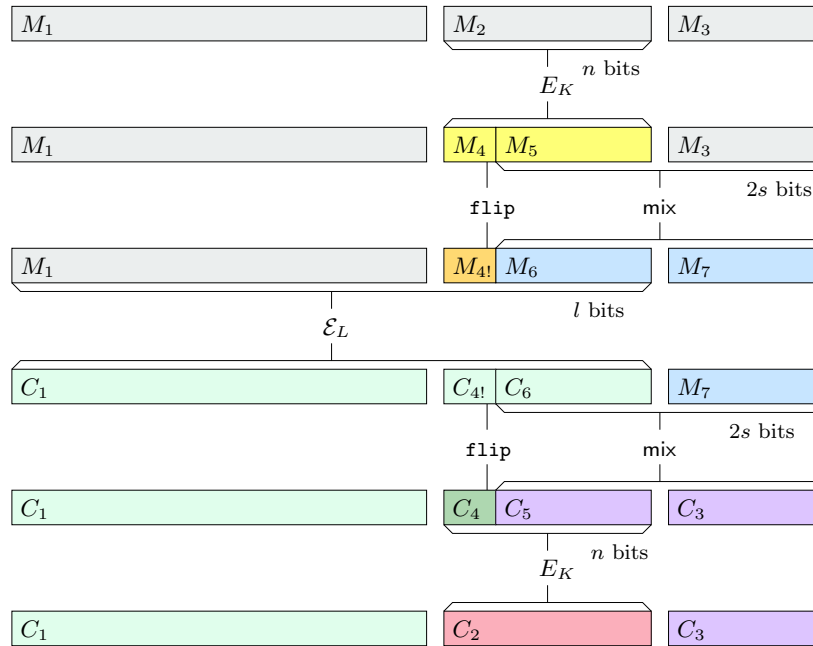


Figure 1: **Top:** Enciphering algorithm  $\mathcal{E}^* = \text{XLS}[\text{mix}, \mathcal{E}, E]$ . **Bottom:** Enciphering  $M = M_1 \parallel M_2 \parallel M_3$  under  $\mathcal{E}^*$  where  $M$  is not in  $\mathcal{M}$ ;  $l < |M|$  is the largest value such that  $\{0, 1\}^l \subseteq \mathcal{M}$ ;  $s = |M| - l$ ;  $M_1 \in \{0, 1\}^{l-n}$ ;  $M_2 \in \{0, 1\}^n$ ; and  $M_3 \in \{0, 1\}^s$ .

halves, i.e.,  $\text{mix}(AB)$  means that  $A \parallel B \in \mathcal{S}^2$  and that  $|A| = |B|$ . Also we interchangeably write  $\text{mix}(AB)$  and  $\text{mix}(A, B)$ , which are equivalent.

A NAIVE APPROACH. Let's start with a natural construction that, perhaps surprisingly, does not lead to a secure construction. Suppose we define  $\text{mixWrong}$  by saying that  $\text{mixWrong}(AB) = A \oplus B \parallel B$  for equal-length  $A, B$ : the mixing function xors the right half of the input into the left half, outputting the result and the original right half. Clearly  $\text{mixWrong}$  is a length-preserving permutation. Furthermore, it might seem sufficient for XLS because it will mix the “leftover” bits into those handled by  $\mathcal{E}$ . But this intuition is flawed:  $\mathcal{E}^* = \text{XLS}[\text{mixWrong}, \mathcal{E}, E]$  is easily distinguished from a length-preserving permutation on  $\mathcal{M}^*$ . An adversary can simply query  $0^n \parallel 0^{n-1}$  and  $1^n \parallel 0^{n-1}$ . As one can easily verify, both  $\mathcal{E}^*(0^n \parallel 0^{n-1})$  and  $\mathcal{E}^*(1^n \parallel 0^{n-1})$  will have output

with the last  $n-1$  bits equal to  $0^{n-1}$ . This would be true of a random permutation with probability at most  $1/2^{n-1}$ , and so the adversary’s advantage is close to one. In fact `mixWrong` does not do a good job of mixing: the right half of the output is only a function of the right half of the input. One can try various fixes, but ultimately it appears that using *just* xors is inherently inadequate.

USING ORTHOGONAL LATIN SQUARES. The failure above suggests that what is needed is a mixing function with symmetry, in the sense that both the left and right halves of the output are dependent on both the left and right halves of the input. To achieve such a goal we can turn to the classical combinatorial objects known as a pair of *orthogonal Latin squares* [7], also called a *multipermutation* [26]. This is a permutation  $\text{mix}: \mathcal{S}^2 \rightarrow \mathcal{S}^2$  such that, for any  $C \in \mathcal{S}$ ,  $\text{mix}_L(C, \cdot)$ ,  $\text{mix}_L(\cdot, C)$ ,  $\text{mix}_R(C, \cdot)$ , and  $\text{mix}_R(\cdot, C)$  are all permutations, where  $\text{mix}_L$  and  $\text{mix}_R$  denote the projection of  $\text{mix}$  onto its first and second component. Let us describe a concrete realization. Fix a finite field  $\mathbb{F}_{2^s}$  for each  $s$  and view each  $s$ -bit string as an element of this field. Then we can build a mixing function `mix1` by saying that

$$\text{mix1}(AB) = (\mathbf{3}A + \mathbf{2}B) \parallel (\mathbf{2}A + \mathbf{3}B) = (A + \mathbf{2}(A + B), B + \mathbf{2}(A + B))$$

for equal-length strings  $A$  and  $B$  (we will assume the length to be at least 2). Here addition and multiplication are over  $\mathbb{F}_{2^s}$  and  $\mathbf{2} = 0^{s-2}10 = x$  and  $\mathbf{3} = 0^{s-2}11 = x+1$ . Addition is bitwise xor and multiplication by  $\mathbf{2}$ , which we also denote `dbl`, can be implemented by a shift and a conditional xor. The mixing function `mix1` has several nice properties. First, it is a permutation and, in fact, an involution (meaning  $\text{mix} = \text{mix}^{-1}$ ). Moreover, for any  $C \in \{0, 1\}^s$  we have that  $\text{mix1}_L(C, \cdot)$ ,  $\text{mix1}_R(C, \cdot)$ ,  $\text{mix1}_L(\cdot, C)$ , and  $\text{mix1}_R(\cdot, C)$  are all permutations on  $\{0, 1\}^s$ .

We show later that, when used in XLS, mixing function `mix1` leads to a secure construction. Moreover, it is fast and relatively simple. But implementing it in XLS requires a table of constants corresponding to irreducible polynomials, one for each  $s \leq n-1$ . As it turns out, we can do better.

THE SIMPLIFIED MIXING FUNCTION. We now simplify the mixing function `mix1`. Let `rol`( $X$ ) represent left circular bit-rotation, that is, for any string  $X$  of length  $s$  let  $\text{rol}(X) = X[2]X[3] \cdots X[s]X[1]$ . Then define `mix2` by

$$\text{mix2}(AB) = (A \oplus \text{rol}(A \oplus B)) \parallel (B \oplus \text{rol}(A \oplus B))$$

where  $A$  and  $B$  are equal-length strings. See Figure 2. Notice the similarity with `mix1`: we replaced multiplication by two with a left circular rotation. The bit rotation “approximates” a proper multiplication, eliminating, in an implementation, the conditional xor and the table of constants. As before, `mix2` is an involution.

QUANTIFYING THE QUALITY OF MIXING FUNCTIONS. We now formalize the properties of a mixing function that are needed in the proof of XLS.

**Definition 1** Fix a set  $\mathcal{S} \subseteq \{0, 1\}^{\geq 1}$ , let  $\text{mix}: \mathcal{S}^2 \rightarrow \mathcal{S}^2$  be a length-preserving permutation, and let  $\epsilon: \mathbb{N} \rightarrow [0, 1]$ . We say that  $\text{mix}$  is an  $\epsilon(s)$ -**good mixing function** if, for all  $s$  such that  $\{0, 1\}^s \subseteq \mathcal{S}$ , we have that

- (1)  $\text{mix}_L(A, \cdot)$  is a permutation for all  $A \in \{0, 1\}^s$ ,
- (2)  $\text{mix}_R(\cdot, B)$  is a permutation for all  $B \in \{0, 1\}^s$ ,
- (3)  $\Pr[R \stackrel{\$}{\leftarrow} \{0, 1\}^s : C = \text{mix}_L(R, B)] \leq \epsilon(s)$  for all  $B, C \in \{0, 1\}^s$ , and
- (4)  $\Pr[R \stackrel{\$}{\leftarrow} \{0, 1\}^s : C = \text{mix}_R(A, R)] \leq \epsilon(s)$  for all  $A, C \in \{0, 1\}^s$ .  $\square$



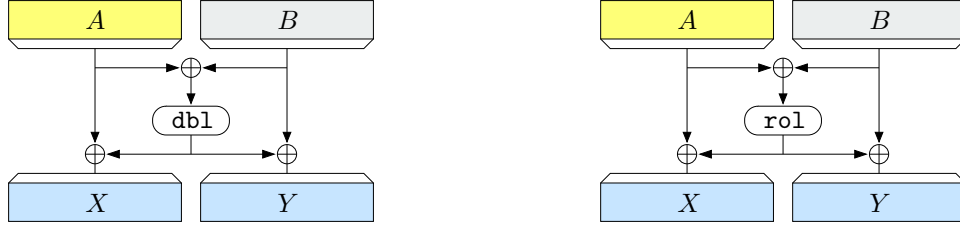


Figure 2: The mixing functions `mix1` (left) and `mix2` (right). Here  $A, B \in \{0, 1\}^{<n}$  with  $s = |A| = |B|$ . The operation `dbl` is a multiplication by  $2 = 0^{s-2}10 = x$  in the finite field  $\mathbb{F}_{2^s}$  and `rol` is a circular left rotation by one bit.

The best one can hope for is a  $2^{-s}$ -good mixing function. In fact `mix1` is such a function, while the `mix2` function is just a factor of two off.

**Lemma 1** *The mixing function `mix1` is a  $2^{-s}$ -good mixing function. The mixing function `mix2` is a  $2^{-s+1}$ -good mixing function.  $\square$*

**Proof:** Since for any  $s \in [1..n-1]$  and any  $C \in \{0, 1\}^s$  we have that `mix1L`( $C, \cdot$ ), `mix1R`( $C, \cdot$ ), `mix1L`( $\cdot, C$ ), and `mix1R`( $\cdot, C$ ) are all permutations, the first half of the lemma is clear.

That `mix2` meets parts 1 and 2 of the definition is clear. For the third part, we have that

$$\text{mix2}_L(R, B) = R \oplus \text{rol}(R \oplus B) = R \oplus \text{rol}(R) \oplus \text{rol}(B)$$

and so we bound the number of values  $R$  such that  $C \oplus \text{rol}(B) = R \oplus \text{rol}(R)$ . Let  $C' = C \oplus \text{rol}(B)$ , which is a constant. Then we have that

$$\begin{aligned} R[1] \oplus R[s] &= C'[1] \\ R[2] \oplus R[1] &= C'[2] \\ &\vdots \\ R[s-1] \oplus R[s-2] &= C'[s-1] \\ R[s] \oplus R[s-1] &= C'[s] \end{aligned}$$

There only exists a string  $R$  that satisfies the above equalities if  $C'[1] \oplus C'[2] \oplus \dots \oplus C'[s] = 0$ . If there exists a solution, then pick a value for  $R[1]$ . That choice and the equations above combine to specify  $R[2], \dots, R[s]$ . This means that there are at most two possible values of  $R$  and so the probability that  $C = \text{mix2}_L(R, B)$  is at most  $2/2^s$ . The proof of the fourth part is symmetric.  $\blacksquare$

We point out that the properties of circular rotations combined with xors as utilized in `mix2` have been used before in different settings, such as [23].

We have introduced two mixing functions for the following reason: `mix1` is conceptually more elegant, while `mix2` is operationally more elegant. In addition, `mix2` is in effect an approximation of `mix1`, making the latter an important conceptual building block. Such mixing functions might prove useful in future provable-security results.

Note that our definition of an  $\epsilon(s)$ -good mixing function is general, but XLS requires a mixing function for which  $\mathcal{S} \supseteq \cup_{i=1}^{n-1} \{0, 1\}^i$ . This is clearly the case for `mix1` and `mix2`. For the rest of the paper when we refer to an  $\epsilon(s)$ -good mixing function, we implicitly require that this function is well-defined for such an  $\mathcal{S}$ .

## 5 The Bit Flips

In steps 05 and 07 of XLS (see Figure 1) we flip a single bit. Flipping bits in this manner is unintuitive and might seem unimportant for the security of XLS. However, the bit flips are actually crucial for the security of the scheme when in the VIL setting. Let  $\mathcal{E}^\dagger$  be the cipher defined by running the algorithm of Figure 1 except with lines 05 and 07 omitted. Then the following VIL adversary  $\mathcal{A}$  easily distinguishes  $\mathcal{E}^\dagger$  from a family of random permutations. The adversary  $\mathcal{A}$  makes two enciphering queries on  $M = 0^{n+1}$  and  $M' = 0^{n+2}$ , getting return values  $C$  and  $C'$  respectively. If the first  $n$  bits of  $C$  and  $C'$  are equal, then  $\mathcal{A}$  outputs 1 (the oracles are likely the construction) and otherwise outputs 0 (the oracles are likely a random permutation). We have that  $\Pr[K \stackrel{\$}{\leftarrow} \mathcal{K}^* : \mathcal{A}^{\mathcal{E}^\dagger(\cdot), \mathcal{D}^\dagger(\cdot)} \Rightarrow 1] = 1$ . This is so because for both queries the inputs to  $\mathcal{E}$  are necessarily the same (as one can verify quickly by following along in the diagram in Figure 1; remember to omit the flip steps). Clearly  $\Pr[\pi \stackrel{\$}{\leftarrow} \text{Perm}(\mathcal{M}^*) : \mathcal{A}^{\pi(\cdot), \pi^{-1}(\cdot)} \Rightarrow 1] = 2^{-n}$  and so  $\mathcal{A}$  has large advantage.

## 6 Security of XLS

We are now ready to prove the security of XLS. The proof is broken into two parts: first we show that XLS is secure in an information-theoretic setting (i.e., using actual random permutations as components). Afterwards we pass to a complexity-theoretic setting to get our main result.

**Theorem 2** *Fix  $n$  and an  $\epsilon(s)$ -good mixing function  $\text{mix}$ . Let  $\mathcal{E}^* = \text{XLS}[\text{mix}, \text{Perm}(\mathcal{M}), \text{Perm}(n)]$  for  $\mathcal{M} \subseteq \{0, 1\}^{\geq n}$ . Then for any adversary  $\mathcal{A}$  that asks at most  $q$  queries we have that  $\text{Adv}_{\mathcal{E}^*}^{\pm\text{prf}}(\mathcal{A}) \leq 5q^2 \epsilon(s)/2^{n-s} + 3q^2/2^n$  for any  $s \in [1..n-1]$ , and so, by Lemma 1,*

$$\text{Adv}_{\mathcal{E}^*}^{\pm\text{prf}}(\mathcal{A}) \leq \frac{8q^2}{2^n} \quad \text{and} \quad \text{Adv}_{\mathcal{E}^*}^{\pm\text{prf}}(\mathcal{A}) \leq \frac{13q^2}{2^n}$$

for  $\text{mix} = \text{mix1}$  and  $\text{mix} = \text{mix2}$ , respectively.  $\square$

**Proof:** We first present a self-contained chunk of the proof, and sketch the intuition of how to prove the other portion. The full proof of the latter is deferred to Section 7. Fix  $n$  and let  $\text{mix}: \mathcal{S}^2 \rightarrow \mathcal{S}^2$  be an  $\epsilon(s)$ -good mixing permutation. Let  $\mathcal{E}: \text{Perm}(\mathcal{M}) \times \mathcal{M} \rightarrow \mathcal{M}$  be a cipher with message space  $\mathcal{M}$  and let  $E: \text{Perm}(n) \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  be a blockcipher. Note that these last two simply implement a family of random length-preserving permutations on  $\mathcal{M}$  and a random permutation on  $\{0, 1\}^n$ , respectively. Let  $\mathcal{A}$  be a  $\pm\text{prf}$  adversary against  $\mathcal{E}^* = \text{XLS}[\text{mix}, \mathcal{E}, E]$ . We therefore must bound

$$\text{Adv}_{\mathcal{E}^*}^{\pm\text{prf}}(\mathcal{A}) = \Pr\left[K \stackrel{\$}{\leftarrow} \mathcal{K}^* : \mathcal{A}^{\mathcal{E}_K^*, \mathcal{D}_K^*} \Rightarrow 1\right] - \Pr\left[\rho, \sigma \stackrel{\$}{\leftarrow} \text{Func}(\mathcal{M}^*) : \mathcal{A}^{\rho, \sigma} \Rightarrow 1\right].$$

Recall that we disallow  $\mathcal{A}$  from making pointless queries. We utilize a game-playing argument [5] and the first two games are G0 and G1, shown in Figure 3.

In G0 we build  $\mathcal{E}$  and  $E$  lazily using the appropriate Choose procedures, and so  $\mathcal{E}$  and  $E$  are partial functions in this context. Note that  $\mathcal{D}\mathcal{E}, \mathcal{R}\mathcal{E}, DE, RE$  are initially empty and the functions  $\mathcal{E}, \mathcal{D}, E, D$  are everywhere undefined. As usual,  $\mathcal{D}$  and  $D$  represent the inverses of  $\mathcal{E}$  and  $E$ . While game G0, which includes the boxed statements, enforces that  $\mathcal{E}$  and  $E$  be length-preserving permutations, game G1 dispenses with that requirement (the boxed statements are not included in G1). A flag *bad* is initially false and set to true when, in the course of building  $\mathcal{E}$  and  $E$ , a duplicate

<pre> <b>procedure</b> Choose<math>\mathcal{E}</math>( <math>X</math> ) <math>Y \stackrel{s}{\leftarrow} \{0, 1\}^{ X }</math> <b>If</b> <math>Y \in \mathcal{RE}</math> <b>then</b> <math>bad \leftarrow true</math>, <math>Y \stackrel{s}{\leftarrow} \overline{\mathcal{RE}}</math> <b>If</b> <math>X \in \mathcal{DE}</math> <b>then</b> <math>bad \leftarrow true</math>, <math>Y \leftarrow \mathcal{E}(X)</math> <math>\mathcal{E}(X) \leftarrow Y</math>; <math>\mathcal{D}(Y) \leftarrow X</math> <math>\mathcal{RE} \stackrel{\cup}{\leftarrow} Y</math>; <math>\mathcal{DE} \stackrel{\cup}{\leftarrow} X</math>; <b>Return</b> <math>Y</math>  <b>procedure</b> Choose<math>E</math>( <math>X</math> ) <math>Y \stackrel{s}{\leftarrow} \{0, 1\}^{ X }</math> <b>If</b> <math>Y \in RE</math> <b>then</b> <math>bad \leftarrow true</math>, <math>Y \stackrel{s}{\leftarrow} \overline{RE}</math> <b>If</b> <math>X \in DE</math> <b>then</b> <math>bad \leftarrow true</math>, <math>Y \leftarrow E(X)</math> <math>E(X) \leftarrow Y</math>; <math>D(Y) \leftarrow X</math> <math>RE \stackrel{\cup}{\leftarrow} Y</math>; <math>DE \stackrel{\cup}{\leftarrow} X</math>; <b>Return</b> <math>Y</math>  <b>procedure</b> Enc( <math>M</math> ) <math>j \leftarrow j + 1</math>; <math>M^j \leftarrow M</math>; <b>If</b> <math>M^j \in \mathcal{M}</math> <b>then</b> <b>Return</b> <math>C^j \leftarrow \text{Choose}\mathcal{E}( M^j )</math> <b>Let</b> <math>s</math> <b>be</b> <b>smallest</b> <b>number</b> <b>s.t.</b> <math>\{0, 1\}^{ M^j  - s} \in \mathcal{M}</math> <math>m \leftarrow  M^j  - n - s</math>; <math>M_1^j M_2^j M_3^j \leftarrow M^j</math> <i>of lengths</i> <math>m, n, s</math> <b>Let</b> <math>i \in [1..j]</math> <b>be</b> <b>smallest</b> <b>index</b> <b>s.t.</b> <math>M_2^j = M_2^i</math> <b>If</b> <math>i &lt; j</math> <b>then</b> <math>M_4^j \leftarrow M_4^i</math>; <math>M_5^j \leftarrow M_5^i</math> <b>Else</b> <math>M_4^j M_5^j \leftarrow \text{Choose}E( M_2^j )</math> <i>of lengths</i> <math>n - s, s</math> <math>M_6^j M_7^j \leftarrow \text{mix}(M_4^j, M_3^j)</math> <i>of lengths</i> <math>s, s</math> <math>C_1^j C_{4!}^j C_6^j \leftarrow \text{Choose}\mathcal{E}( M_1^j \parallel \text{flip1}(M_4^j) \parallel M_6^j )</math> <i>of lengths</i> <math>m, n - s, s</math> <math>C_5^j C_3^j \leftarrow \text{mix}(C_6^j, M_7^j)</math> <i>of lengths</i> <math>s, s</math> <math>C_2^j \leftarrow \text{Choose}E( \text{flip1}(C_{4!}^j) \parallel C_5^j )</math> <b>Return</b> <math>C_1^j C_2^j C_3^j</math>  <b>procedure</b> Dec( <math>C</math> ) <math>j \leftarrow j + 1</math>; <math>C^j \leftarrow C</math>; <b>If</b> <math>C^j \in \mathcal{M}</math> <b>then</b> <b>Return</b> <math>M^j \leftarrow \text{Choose}\mathcal{D}( C^j )</math> <b>Let</b> <math>s</math> <b>be</b> <b>smallest</b> <b>number</b> <b>s.t.</b> <math>\{0, 1\}^{ C^j  - s} \in \mathcal{M}</math> <math>m \leftarrow  C^j  - n - s</math>; <math>C_1^j C_2^j C_3^j \leftarrow C^j</math> <i>of lengths</i> <math>m, n, s</math> <b>Let</b> <math>i \in [1..j]</math> <b>be</b> <b>smallest</b> <b>index</b> <b>s.t.</b> <math>C_2^j = C_2^i</math> <b>If</b> <math>i &lt; j</math> <b>then</b> <math>C_4^j \leftarrow C_4^i</math>; <math>C_5^j \leftarrow C_5^i</math> <b>Else</b> <math>C_4^j C_5^j \leftarrow \text{Choose}D( C_2^j )</math> <i>of lengths</i> <math>n - s, s</math> <math>C_6^j M_7^j \leftarrow \text{mix}(C_4^j, C_3^j)</math> <i>of lengths</i> <math>s, s</math> <math>M_1^j M_{4!}^j M_6^j \leftarrow \text{Choose}\mathcal{D}( C_1^j \parallel \text{flip1}(C_4^j) \parallel C_5^j )</math> <i>of lengths</i> <math>m, n - s, s</math> <math>M_5^j M_3^j \leftarrow \text{mix}(M_6^j, M_7^j)</math> <i>of lengths</i> <math>s, s</math> <math>M_2^j \leftarrow \text{Choose}D( \text{flip1}(M_{4!}^j) \parallel M_5^j )</math> <b>Return</b> <math>M_1^j M_2^j M_3^j</math> </pre>	<div style="text-align: right; margin-bottom: 10px;"> <span style="border: 1px solid black; padding: 2px;">G0</span>   G1 </div> <pre> <b>procedure</b> Choose<math>\mathcal{D}</math>( <math>Y</math> ) <math>X \stackrel{s}{\leftarrow} \{0, 1\}^{ Y }</math> <b>If</b> <math>X \in \mathcal{DE}</math> <b>then</b> <math>bad \leftarrow true</math>, <math>Y \stackrel{s}{\leftarrow} \overline{\mathcal{DE}}</math> <b>If</b> <math>Y \in \mathcal{RE}</math> <b>then</b> <math>bad \leftarrow true</math>, <math>X \leftarrow \mathcal{D}(Y)</math> <math>\mathcal{E}(X) \leftarrow Y</math>; <math>\mathcal{D}(Y) \leftarrow X</math> <math>\mathcal{RE} \stackrel{\cup}{\leftarrow} Y</math>; <math>\mathcal{DE} \stackrel{\cup}{\leftarrow} X</math>; <b>Return</b> <math>X</math>  <b>procedure</b> Choose<math>D</math>( <math>Y</math> ) <math>X \stackrel{s}{\leftarrow} \{0, 1\}^{ Y }</math> <b>If</b> <math>X \in DE</math> <b>then</b> <math>bad \leftarrow true</math>, <math>Y \stackrel{s}{\leftarrow} \overline{DE}</math> <b>If</b> <math>Y \in RE</math> <b>then</b> <math>bad \leftarrow true</math>, <math>X \leftarrow D(Y)</math> <math>E(X) \leftarrow Y</math>; <math>D(Y) \leftarrow X</math> <math>RE \stackrel{\cup}{\leftarrow} Y</math>; <math>DE \stackrel{\cup}{\leftarrow} X</math>; <b>Return</b> <math>X</math> </pre>
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Figure 3: Games G0 (boxed statements included) and G1 (boxed statements dropped) used in the proof of Theorem 2. Initially,  $j = 0$  and  $\mathcal{DE}, \mathcal{RE}, DE, RE$  are empty sets and the partial functions  $\mathcal{E}, \mathcal{D}, E, D$  are everywhere undefined. The function  $\text{flip1}(X)$ , for any bit string  $X = X[1] \cdots X[s]$ , outputs the string with last bit complemented:  $X[1] \cdots X[s-1] \text{flip}(X[s])$ .

domain or range point is initially selected. In G0 these points are not used (enforcing that the functions are permutations), but in G1 we use them and thus duplicate points can be added to  $\mathcal{DE}$ ,  $\mathcal{RE}$ ,  $DE$ , and  $RE$ . A *collision* is just a pair of equal strings in one of the sets. Note that for  $\mathcal{DE}$  and  $\mathcal{RE}$ , only strings of the same length can collide.

Game G0 exactly simulates  $\mathcal{E}^*$  and its inverse while G1 always returns random bits. This second statement needs to be justified for the case of a query  $M \notin \mathcal{M}$  (or  $C \notin \mathcal{M}$ ). Particularly, if the  $j^{\text{th}}$  query is to encipher  $M^j \notin \mathcal{M}$ , then the last  $s$  bits returned are  $C_3^j = \text{mix}_R(C_6^j, M_7^j)$ . Here  $C_6^j$  is uniformly selected, and by the definition of an  $\epsilon(s)$ -good mixing function, we have that  $\text{mix}_R(C_6^j, M_7^j)$  is a permutation of  $C_6^j$ . So  $C_3^j$  inherits its distribution. The same reasoning justifies the distribution of deciphering queries  $C \notin \mathcal{M}$ . We can therefore replace the oracles  $\mathcal{A}$  queries with the two described games and apply the fundamental lemma of game playing [5] to get

$$\text{Adv}_{\mathcal{E}^*}^{\pm \text{prf}}(\mathcal{A}) = \Pr[\mathcal{A}^{\text{G0}} \Rightarrow 1] - \Pr[\mathcal{A}^{\text{G1}} \Rightarrow 1] \leq \Pr[\mathcal{A}^{\text{G1}} \text{ sets } \textit{bad}]. \quad (1)$$

The following lemma captures the bound on the ability of  $\mathcal{A}$  to set *bad*.

**Lemma 2**  $\Pr[\mathcal{A}^{\text{G1}} \text{ sets } \textit{bad}] \leq 5q^2 \epsilon(s)/2^{n-s} + 3q^2/2^n$  for any  $s \in [1 .. n - 1]$ .  $\square$

Combining Lemma 2 with Equation 1 implies the theorem statement, and a full proof of the lemma is deferred to Section 7. Here we informally sketch one of the more interesting cases for proving the lemma above. In particular, we reason about the probability that  $\mathcal{A}$  can set *bad* by causing a collision in the set  $\mathcal{DE}$ , which represents the domain of  $\mathcal{E}$ . Note that in the full proof we go through several game transitions before reasoning about this case—here we do it in the context of game G1 and thus end up being a bit informal. For simplicity we'll just focus on enciphering queries. Suppose that the  $i^{\text{th}}$  and  $j^{\text{th}}$  (with  $i < j$ ) enciphering queries result in applying  $\mathcal{E}$  to the same domain point. That is, if we let  $X^i$  and  $X^j$  be the bit strings added to  $\mathcal{DE}$  during queries  $i$  and  $j$ , then a collision in  $\mathcal{DE}$  occurs if  $X^i = X^j$  and  $l \equiv |X^i| = |X^j|$ . If such a collision occurs with high probability, then  $\mathcal{A}$  would be able to distinguish easily. There are two main cases to consider, based on the lengths of the queried messages  $M^i$  and  $M^j$ . The cases are marked by triangles.

$\triangleright$  Suppose that  $|M^i| \notin \mathcal{M}$  and  $|M^j| \notin \mathcal{M}$ . The two domain points are  $X^i = M_1^i \parallel \text{flip1}(M_4^i) \parallel M_6^i$  and  $X^j = M_1^j \parallel \text{flip1}(M_4^j) \parallel M_6^j$ . Let  $s^i = |M_6^i|$  and  $s^j = |M_6^j|$  (necessarily we have that  $|M^i| - s^i = |M^j| - s^j$ ). We break down the analysis into two subcases:

- If  $M_2^i \neq M_2^j$  then  $M_4^j \parallel M_5^j$  are selected uniformly and independently from any random choices made during query  $i$ . We have then that  $\text{flip1}(M_4^j)$  consists of  $n - s^j$  randomly selected bits, which will collide with the appropriate  $n - s^j$  bits of  $X^i$  with probability  $2^{-n+s}$ . Furthermore,  $M_6^j = \text{mix}_L(M_5^j, M_3^j)$  where  $M_5^j$  is a string of  $s^j$  random bits. We can apply the definition of an  $\epsilon(s)$ -good mixing function to get that the probability that  $M_6^j$  collides with some other value is at most  $\epsilon(s)$ . Combining the two probabilities, we see that the probability that  $X^i = M_1^j \parallel \text{flip1}(M_4^j) \parallel M_6^j$  is at most  $\epsilon(s)/2^{n-s}$ .
- If  $M_2^i = M_2^j$  then we can show that the probability that  $X^i = X^j$  is zero. First consider if  $s \equiv s^i = s^j$ . Then we have that  $M_4^i = M_4^j$  and  $M_5^i = M_5^j$ . For a collision to occur it must be that  $M_1^i = M_1^j$  and  $M_6^i = M_6^j$ . But because of the permutivity of  $\text{mix}_L(A, \cdot)$ , as given by Definition 1 part 1, this last equivalence implies that  $M_3^i = M_3^j$ . In turn this means that  $M^i = M^j$ , which would make query  $j$  pointless. But since we disallow  $\mathcal{A}$  from making pointless queries, we have a contradiction. Second consider, without loss of generality, that

$s^i < s^j$ . Then we have that  $X^i$  can not equal  $X^j$  (recall that  $|X^i| = |X^j| = l$ ) because **flip1** ensures that  $X^i[l - s^j] \neq X^j[l - s^j]$ . Thus, in either situation, the probability of a collision is zero.

▷ Now suppose that  $|M^i| \in \mathcal{M}$  and  $|M^j| \notin \mathcal{M}$ . The two domain points are  $M^i$  and  $X^j = M_1^j \parallel \mathbf{flip1}(M_4^j) \parallel M_6^j$ . Let  $s^j = |M_6^j|$ . We have that  $M_4^j$  and  $M_5^j$  are uniformly selected after the adversary has specified  $M^i$  (since  $i < j$ ). Thus, **flip1**( $M_4^j$ ) will collide with the appropriate bits of  $M^i$  with probability  $2^{-n+s}$ . Since  $M_6^j = \text{mix}_L(M_5^j, M_3^j)$  we can apply the definition of a good mixing function. This gives that the probability of  $M_6^j$  colliding with the appropriate  $s^j$  bits of  $M^i$  is at most  $\epsilon(s)$ . Therefore the probability that  $M^i = X^j$  is at most  $\epsilon(s)/2^{n-s}$ .

If on the other hand  $|M^i| \notin \mathcal{M}$  while  $|M^j| \in \mathcal{M}$ , then we can only apply similar reasoning if we show that  $\mathcal{A}$  learns nothing about certain random choices made in the course of answering query  $i$ . We do just that rigorously in the full proof.

So in the cases above the probability of a collision is no greater than  $\epsilon(s)/2^{n-s}$ , where  $s \in [1 .. n-1]$ . Because each query adds one string to  $\mathcal{DE}$ , we have that  $|\mathcal{DE}| = q$ . Thus, the total probability of *bad* being set due to a collision in the domain of  $\mathcal{E}$  is at most

$$\binom{q}{2} \frac{\epsilon(s)}{2^{n-s}} \leq \frac{q^2 \epsilon(s)}{2^{n-s+1}}.$$

Combining this (via a union bound) with analyses of the other ways in which *bad* can be set yields a sketch of the lemma. ■

The next theorem captures the security of XLS in a complexity-theoretic setting. It's proof is by a standard hybrid argument, which utilizes as one step Theorem 2.

**Theorem 3** Fix  $n$  and an  $\epsilon(s)$ -good mixing function  $\text{mix}$ . Let  $\mathcal{E}: \mathcal{K}_{\mathcal{E}} \times \mathcal{M} \rightarrow \mathcal{M}$  be a cipher and  $E: \mathcal{K}_E \times \{0,1\}^n \rightarrow \{0,1\}^n$  be a blockcipher. Let  $\mathcal{E}^* = \text{XLS}[\text{mix}, \mathcal{E}, E]$  and let  $\mathcal{A}$  be an adversary that runs in time  $t$  and asks at most  $q$  queries, each of at most  $\mu$  bits. Then there exists adversaries  $\mathcal{B}$  and  $\mathcal{C}$  and an absolute constant  $c$  such that  $\mathbf{Adv}_{\mathcal{E}^*}^{\pm\text{prp}}(\mathcal{A}) \leq \mathbf{Adv}_{\mathcal{E}}^{\pm\text{prp}}(\mathcal{B}) + \mathbf{Adv}_E^{\pm\text{prp}}(\mathcal{C}) + 5q^2 \epsilon(s)/2^{n-s} + 4q^2/2^n$  for any  $s \in [1 .. n-1]$ , and so, by Lemma 1

$$\mathbf{Adv}_{\mathcal{E}^*}^{\pm\text{prp}}(\mathcal{A}) \leq \mathbf{Adv}_{\mathcal{E}}^{\pm\text{prp}}(\mathcal{B}) + \mathbf{Adv}_E^{\pm\text{prp}}(\mathcal{C}) + \frac{9q^2}{2^n}$$

when  $\text{mix} = \text{mix1}$ , and the same expression, but with the 9 replaced by 14, when  $\text{mix} = \text{mix2}$ . Here  $\mathcal{B}$  runs in time  $t_B = t + c\mu q \log q$  and asks  $q_B = q$  queries, each of length at most  $\mu$ , and  $\mathcal{C}$  runs in time  $t_C \leq t + (q+1) \cdot \text{Time}_{\mathcal{E}}(\mu) + c\mu q$  and asks  $q_C \leq 2q$  queries, each of length at most  $n$ . □

**Proof:** Fix a blocklength  $n$  and an  $\epsilon(s)$ -good mixing function  $\text{mix}: \mathcal{S}^2 \rightarrow \mathcal{S}^2$ . Let  $E: \mathcal{K}_E \times \{0,1\}^n \rightarrow \{0,1\}^n$  be a blockcipher and  $\mathcal{E}: \mathcal{K}_{\mathcal{E}} \times \mathcal{M} \rightarrow \mathcal{M}$  be an enciphering scheme for message space  $\mathcal{M}$ . Let  $\mathcal{A}$  be a  $\pm\text{prp}$  adversary attacking  $\mathcal{E}^* = \text{XLS}[\text{mix}, \mathcal{E}, E]$  running in at most time  $t$  and making  $q$  queries each of which is of length no more than  $\mu$ . We use a hybrid argument consisting of five distinct settings. Imagine randomly instantiating the oracles  $F$  and  $G$  that  $\mathcal{A}$  has access to with a random instance of one of the following pairs:

- (1)  $F = \text{XLS}[\text{mix}, \mathcal{E}, E]$  and  $G = F^{-1}$
- (2)  $F = \text{XLS}[\text{mix}, \mathcal{E}, \text{Perm}(n)]$  and  $G = F^{-1}$ .
- (3)  $F = \text{XLS}[\text{mix}, \text{Perm}(\mathcal{M}), \text{Perm}(n)]$  and  $G = F^{-1}$

- (4)  $F = \text{Func}(\mathcal{M}^*)$  and  $G = \text{Func}(\mathcal{M}^*)$   
(5)  $F = \text{Perm}(\mathcal{M}^*)$  and  $G = F^{-1}$

Let  $p_i$  be the probability that  $\mathcal{A}$  returns 1 when given the  $i^{\text{th}}$  pair of oracles above. Also, let  $p_{ij} \equiv p_i - p_j$ . We therefore have that  $p_{15} = \mathbf{Adv}_{\mathcal{E}^*}^{\pm\text{prp}}(\mathcal{A})$ . Further,

$$p_{15} = p_{12} + p_{23} + p_{34} + p_{45}. \quad (2)$$

We can bound  $p_{15}$  by bounding each of these terms:

- $p_{12} \leq \mathbf{Adv}_E^{\pm\text{prp}}(\mathcal{C})$ . Consider an adversary  $\mathcal{C}$  with access to oracles  $f, g$  that behaves as follows. Adversary  $\mathcal{C}$  begins by choosing a random  $L$  from  $\mathcal{K}_{\mathcal{E}}$ . Then it runs adversary  $\mathcal{A}$ . When  $\mathcal{A}$  makes a query  $F(X)$ , adversary  $\mathcal{C}$  answers this query by executing the algorithm specified by  $\text{XLS}[\text{mix}, \mathcal{E}, f]$  on input  $X$ : running  $\mathcal{E}$  with the chosen key  $L$  and utilizing its oracle  $f$  where appropriate. When  $\mathcal{A}$  makes a query  $G(Y)$ , adversary  $\mathcal{C}$  similarly answers this query by executing the algorithm specified by  $\text{XLS}[\text{mix}, \mathcal{D}, g]$ . Adversary  $\mathcal{C}$  returns whatever is returned by  $\mathcal{A}$ . Adversary  $\mathcal{C}$  runs in time  $t' \leq t + (q+1) \cdot \text{Time}_{\mathcal{E}}(l) + c\mu q$  where  $c$  is an absolute constant. Adversary  $\mathcal{C}$  asks  $q' \leq 2q$  oracle queries. The advantage of  $\mathcal{C}$  is  $\mathbf{Adv}_E^{\pm\text{prp}}(\mathcal{C}) = p_{12}$ .
- $p_{23} \leq \mathbf{Adv}_{\mathcal{E}}^{\pm\text{prp}}(\mathcal{B})$ . We consider an adversary  $\mathcal{B}$  with oracles  $f, g$  that behaves as follows. Adversary  $\mathcal{B}$  will lazily grow a random permutation  $\pi$  in the conventional way. It runs  $\mathcal{A}$  and answers any query  $F(X)$  by running the algorithm specified by  $\text{XLS}[\text{mix}, f, \pi]$ . Similarly, when  $\mathcal{A}$  queries  $G(Y)$ , adversary  $\mathcal{B}$  answers the query by running the algorithm specified by  $\text{XLS}[\text{mix}, g, \pi^{-1}]$ . Adversary  $\mathcal{B}$  returns whatever  $\mathcal{A}$  returns. It runs in time  $t' = t + c\mu q \log q$  where  $c$  is an absolute constant and  $\mathcal{B}$  asks  $q$  oracle queries. (The  $\log q$  factor accommodates the sampling of the random permutation in the worst-case time.) The advantage of  $\mathcal{B}$  is  $\mathbf{Adv}_{\mathcal{E}}^{\pm\text{prp}}(\mathcal{B}) = p_{23}$ .
- $p_{34} \leq (5q^2 \epsilon(s)/2^{n-s}) + (3q^2/2^n)$ . Theorem 2 gives us this result.
- $p_{45} \leq 0.5 q(q-1)/2^n \leq q^2/2^n$ . This is standard; see for example the proof of Lemma 6 in [15].

Combining the different portions of the hybrid argument we get that

$$\begin{aligned} \mathbf{Adv}_{\mathcal{E}^*}^{\pm\text{prp}}(\mathcal{A}) &= p_{15} \\ &\leq p_{12} + p_{23} + p_{34} + p_{45} \\ &\leq \mathbf{Adv}_E^{\pm\text{prp}}(\mathcal{C}) + \mathbf{Adv}_{\mathcal{E}}^{\pm\text{prp}}(\mathcal{B}) + \frac{5q^2 \epsilon(s)}{2^{n-s}} + \frac{3q^2}{2^n} + \frac{q^2}{2^n} \\ &\leq \mathbf{Adv}_E^{\pm\text{prp}}(\mathcal{C}) + \mathbf{Adv}_{\mathcal{E}}^{\pm\text{prp}}(\mathcal{B}) + \frac{5q^2 \epsilon(s)}{2^{n-s}} + \frac{4q^2}{2^n} \end{aligned}$$

which proves Theorem 3.  $\blacksquare$

## 7 Proof of Lemma 2

We want to bound the probability that  $\mathcal{A}$  forces  $bad$  to be set in game G1 (refer to Figure 3). We proceed through a sequence of game transitions, and then conduct a case analysis of the probability of setting  $bad$  in the final game.

<p><b>procedure</b> Enc(<math>M</math>)</p> <p><math>j \leftarrow j + 1; M^j \leftarrow M</math></p> <p>If <math>M^j \in \mathcal{M}</math> then</p> <p style="padding-left: 20px;"><math>C^j \xleftarrow{\\$} \{0, 1\}^{ M^j }; \mathcal{DE} \stackrel{\leftarrow}{\leftarrow} (j, \text{EM}, M^j)</math></p> <p style="padding-left: 20px;"><math>\mathcal{RE} \stackrel{\leftarrow}{\leftarrow} (j, \text{EC}, C^j); \text{Return } C^j</math></p> <p>Let <math>s</math> be smallest number s.t. <math>\{0, 1\}^{ M^j  - s} \in \mathcal{M}</math></p> <p><math>m \leftarrow  M^j  - n - s</math></p> <p><math>M_1^j M_2^j M_3^j \leftarrow M^j</math> of lengths <math>m, n, s</math></p> <p>Let <math>i \in [1..j]</math> be smallest index s.t. <math>M_2^j = M_2^i</math></p> <p>If <math>i &lt; j</math> then <math>M_4^j \leftarrow M_4^i; M_5^j \leftarrow M_5^i</math></p> <p>Else <math>M_4^j M_5^j \xleftarrow{\\$} \{0, 1\}^n</math> of lengths <math>n - s, s</math></p> <p style="padding-left: 20px;"><math>DE \stackrel{\leftarrow}{\leftarrow} (j, \text{EM}_2, M_2^j)</math></p> <p style="padding-left: 20px;"><math>RE \stackrel{\leftarrow}{\leftarrow} (j, \text{EM}_{45}, M_4^j \parallel M_5^j)</math></p> <p><math>M_6^j M_7^j \leftarrow \text{mix}(M_5^j, M_3^j)</math> of lengths <math>s, s</math></p> <p><math>C_1^j C_{41}^j C_6^j \xleftarrow{\\$} \{0, 1\}^{m+n}</math> of lengths <math>m, n - s, s</math></p> <p><math>\mathcal{DE} \stackrel{\leftarrow}{\leftarrow} (j, \text{EM}_{146}, M_1^j \parallel \text{flip1}(M_4^j) \parallel M_6^j)</math></p> <p><math>\mathcal{RE} \stackrel{\leftarrow}{\leftarrow} (j, \text{EC}_{146}, C_1^j \parallel C_{41}^j \parallel C_6^j)</math></p> <p><math>C_5^j C_3^j \leftarrow \text{mix}(C_6^j, M_7^j)</math> of lengths <math>s, s</math></p> <p><math>C_2^j \xleftarrow{\\$} \{0, 1\}^n</math></p> <p><math>DE \stackrel{\leftarrow}{\leftarrow} (j, \text{EC}_{45}, \text{flip1}(C_{41}^j) \parallel C_5^j)</math></p> <p><math>RE \stackrel{\leftarrow}{\leftarrow} (j, \text{EC}_2, C_2^j)</math></p> <p>Return <math>C_1^j C_2^j C_3^j</math></p> <p><b>procedure</b> Finalize</p> <p><math>bad \leftarrow</math> (a collision occurs in <math>\mathcal{DE}</math> or in <math>\mathcal{RE}</math> or in <math>DE</math> or in <math>RE</math>)</p>	<p style="text-align: right;">G2</p> <p><b>procedure</b> Dec(<math>C</math>)</p> <p><math>j \leftarrow j + 1; C^j \leftarrow C</math></p> <p>If <math>C^j \in \mathcal{M}</math> then</p> <p style="padding-left: 20px;"><math>M^j \xleftarrow{\\$} \{0, 1\}^{ C^j }; \mathcal{DE} \stackrel{\leftarrow}{\leftarrow} (j, \text{DM}, M^j)</math></p> <p style="padding-left: 20px;"><math>\mathcal{RE} \stackrel{\leftarrow}{\leftarrow} (j, \text{DC}, C^j); \text{Return } M^j</math></p> <p>Let <math>s</math> be smallest number s.t. <math>\{0, 1\}^{ C^j  - s} \in \mathcal{M}</math></p> <p><math>m \leftarrow  C^j  - n - s</math></p> <p><math>C_1^j C_2^j C_3^j \leftarrow C^j</math> of lengths <math>m, n, s</math></p> <p>Let <math>i \in [1..j]</math> be smallest index s.t. <math>C_2^j = C_2^i</math></p> <p>If <math>i &lt; j</math> then <math>C_4^j \leftarrow C_4^i; C_5^j \leftarrow C_5^i</math></p> <p>Else <math>C_4^j C_5^j \xleftarrow{\\$} \{0, 1\}^n</math> of lengths <math>n - s, s</math></p> <p style="padding-left: 20px;"><math>DE \stackrel{\leftarrow}{\leftarrow} (j, \text{DC}_{45}, C_4^j \parallel C_5^j)</math></p> <p style="padding-left: 20px;"><math>RE \stackrel{\leftarrow}{\leftarrow} (j, \text{DC}_2, C_2^j)</math></p> <p><math>C_6^j M_7^j \leftarrow \text{mix}(C_5^j, C_3^j)</math> of lengths <math>s, s</math></p> <p><math>M_1^j M_{41}^j M_6^j \xleftarrow{\\$} \{0, 1\}^{m+n}</math> of lengths <math>m, n - s, s</math></p> <p><math>\mathcal{DE} \stackrel{\leftarrow}{\leftarrow} (j, \text{DM}_{146}, M_1^j \parallel M_{41}^j \parallel M_6^j)</math></p> <p><math>\mathcal{RE} \stackrel{\leftarrow}{\leftarrow} (j, \text{DC}_{146}, C_1^j \parallel \text{flip1}(C_4^j) \parallel C_6^j)</math></p> <p><math>M_5^j M_3^j \leftarrow \text{mix}(M_6^j, M_7^j)</math> of lengths <math>s, s</math></p> <p><math>M_2^j \xleftarrow{\\$} \{0, 1\}^n</math></p> <p><math>DE \stackrel{\leftarrow}{\leftarrow} (j, \text{DM}_2, M_2^j)</math></p> <p><math>RE \stackrel{\leftarrow}{\leftarrow} (j, \text{DM}_{45}, \text{flip1}(M_{41}^j) \parallel M_5^j)</math></p> <p>Return <math>M_1^j M_2^j M_3^j</math></p>
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Figure 4: Game G2 used in the proof of Lemma 2. Initially the sets  $\mathcal{DE}, \mathcal{RE}, DE, RE$  are empty and  $j = 0$ . In this game the setting of  $bad$  is deferred to the Finalize procedure, and the sets  $\mathcal{DE}, \mathcal{RE}, DE, RE$  record information about strings that would have been defined for the range and domain of  $\mathcal{E}$  and  $E$  in Game G1.

**(Game G2; Fig. 4)** We modify game G1 in several ways to create game G2. We dispense with the Choose procedures. Instead, we directly sample uniformly where appropriate, and we add to the sets  $\mathcal{DE}, \mathcal{RE}, DE, RE$  tuples that record information about the bit strings that would have been added to the domain and range of  $\mathcal{E}$  and  $E$  in game G1. Each tuple added to these sets is of the form  $(j, L, S)$  where  $j \in [1..q]$  is the query responsible for adding the tuple;  $L \in \{\text{EM}_2, \text{DM}_2, \text{EC}_{45}, \text{DC}_{45}\}$  (for tuples in  $DE$ ),  $L \in \{\text{EC}_2, \text{DC}_{45}, \text{EM}_{45}, \text{DM}_2\}$  (for tuples in  $RE$ ),  $L \in \{\text{EM}, \text{DM}, \text{EM}_{146}, \text{DM}_{146}\}$  (for tuples in  $\mathcal{DE}$ ), or  $L \in \{\text{EC}, \text{DC}, \text{EC}_{146}, \text{DC}_{146}\}$  (for tuples in  $\mathcal{RE}$ ) is a label representing where in the game the tuple was added — we distinguish between enciphering and deciphering queries by the ‘E’ and ‘D’; and finally  $S$  is the bit string actually being added to the domain or range of  $\mathcal{E}$  or  $E$ . Note that all tuples in each of the sets are distinct. Finally, we defer the setting of the flag  $bad$  until after all the queries have been handled.

We define a *collision* to be any pair of tuples  $(i, L, S)$  and  $(j, L', S')$  for which  $S = S'$  and both tuples are in one of  $DE, RE, \mathcal{DE}$ , or  $\mathcal{RE}$ . Anytime  $bad$  would have been set in G1 we have that a tuple is added to one of the sets that collides with a tuple already in the set. Additionally, every query still returns a sequence of random bits. Therefore

$$\Pr[A^{\text{G1}} \text{ sets } bad] = \Pr[A^{\text{G2}} \text{ sets } bad] \quad (3)$$

The rest of the proof is dedicated to showing that collisions in these sets can only occur with low probability regardless of the adversary’s choices.

We go ahead and bound the probability of some types of collisions occurring in game G2, and wait to reason about the others in a later game. Particularly, we first focus on trivial collisions. A

*trivial collision* is a pair of tuples

- (1)  $(i, L, S), (j, L', S') \in DE$  for  $L' \in \{DM_2, DC_{45}\}$ ,
- (2)  $(i, L, S), (j, L', S') \in RE$  for  $L' \in \{EC_2, EM_{45}\}$ ,
- (3)  $(i, L, S), (j, EC_{45}, S') \in DE$ ,
- (4)  $(i, L, S), (j, DM_{45}, S') \in RE$ ,
- (5)  $(i, L, S), (j, L', S') \in \mathcal{DE}$  for  $L' \in \{DM, DM_{146}\}$ , or
- (6)  $(i, L, S), (j, L', S')$  in  $\mathcal{RE}$  for  $L' \in \{EC, EC_{146}\}$

where  $i < j$  and  $S = S'$ . Trivial collisions correspond to a collision between a previously added bit string and a fresh, uniformly chosen bit string. By fresh we mean that the adversary has received no information about the random choice (which is clear in these cases since the random choice occurs in the later of the two queries).

The following claim bounds the probability that  $\mathcal{A}$  causes a trivial collision.

**Claim 4**  $\Pr[\mathcal{A}^{G^2} \text{ causes trivial collision}] \leq (4q^2 \epsilon(s)/2^{n-s}) + q^2/2^n . \square$

*Proof:* We first show that the probability of any category of trivial collision is low, and then count the total number of potential trivial collisions in each set to prove the claim. For categories (1), (2), (5), and (6) the probability of a collision is clearly at most  $2^{-n}$  because  $S'$  is always freshly chosen at random and  $|S'| \geq n$ . For category (3) we have that  $S' = \text{flip1}(C_{41}^j) \parallel C_5^j$ . Here  $C_{41}^j$  is a uniform string of  $n - s$  bits and  $C_5^j = \text{mix}_L(C_6^j, M_7^j)$  where  $C_6^j$  is a uniform  $s$ -bit string. We apply the definition of a good mixing permutation to get that the probability of  $C_5^j$  equaling the corresponding portion of  $S$  is at most  $\epsilon(s)$ . Thus the probability of  $S'$  equaling  $S$  is at most  $\epsilon(s)/2^{n-s}$ . For category (4) a symmetric argument gives us the same bound.

Since  $|DE| \leq 2q$ ,  $|RE| \leq 2q$ , and  $|\mathcal{DE}| \leq q$  and  $|\mathcal{RE}| \leq q$  and using the union bound we have

$$\Pr[\mathcal{A}^{G^2} \text{ causes trivial collision}] \leq \binom{2q}{2} \frac{\epsilon(s)}{2^{n-s}} + \binom{2q}{2} \frac{\epsilon(s)}{2^{n-s}} + \binom{q}{2} \frac{1}{2^n} + \binom{q}{2} \frac{1}{2^n} \leq \frac{4q^2 \epsilon(s)}{2^{n-s}} + \frac{q^2}{2^n} . \blacksquare$$

Now we define non-trivial collisions, which encompass all other possible collisions in the game. Formally, a *non-trivial collision* is between a pair of tuples

- (1)  $(i, L, S), (j, EM_2, S') \in DE$ ,
- (2)  $(i, L, S), (j, DC_2, S') \in RE$ ,
- (3)  $(i, L, S), (j, L', S') \in \mathcal{DE}$  for  $L' \in \{EM, EM_{146}\}$ , or
- (4)  $(i, L, S), (j, L', S') \in \mathcal{RE}$  for  $L' \in \{DC, DC_{146}\}$

where  $i < j$  and  $S = S'$ . Since trivial and non-trivial collisions represent all the ways in which *bad* can be set we have that

$$\begin{aligned} \Pr[\mathcal{A}^{G^2} \text{ sets } bad] &\leq \Pr[\mathcal{A}^{G^2} \text{ causes trivial collision}] + \Pr[\mathcal{A}^{G^2} \text{ causes non-trivial collision}] \\ &\leq \frac{4q^2 \epsilon(s)}{2^{n-s}} + \frac{q^2}{2^n} + \Pr[\mathcal{A}^{G^2} \text{ causes non-trivial collision}] . \end{aligned} \quad (4)$$

**(Game G3; Fig. 5)** We modify game G2 to form game G3, which only sets *bad* in response to non-trivial collisions. We also change the order of many random choices. A query is immediately responded with by random bits. During the finalization phase G3 revisits each query and determines the values of each internal variable. To do so, G3 must compute  $C_6^j$  from  $M_7^j$  and  $C_3^j$  (for encryption queries) and  $M_7^j$  from  $M_3^j$  and  $M_3^j$  (for decryption queries). This is because  $C_3^j$  and  $M_3^j$  have already been specified before Finalize is executed. This can be done easily since *mix* is a good



<p><b>procedure</b> Enc(<math>M</math>)  <math>k \leftarrow k + 1</math>; <math>M^k \leftarrow M</math>  <math>ty^k \leftarrow \text{Enc}</math>; <math>C^k \xleftarrow{\\$} \{0, 1\}^{ M^k }</math>; Return <math>C^k</math></p> <p><b>procedure</b> Finalize  For <math>j \leftarrow 1</math> to <math>k</math> do    If <math>ty^j = \text{Enc}</math> and <math>M^j \in \mathcal{M}</math> then      <math>\mathcal{DE} \xleftarrow{\\$} (j, \text{EM}, M^j)</math>      <math>\mathcal{RE} \xleftarrow{\\$} (j, \text{EC}, C^j)</math>    Else If <math>ty^j = \text{Enc}</math> and <math>M^j \notin \mathcal{M}</math> then      Let <math>s</math> be smallest number s.t. <math>\{0, 1\}^{ M^j  - s} \in \mathcal{M}</math>      <math>m \leftarrow  M^j  - n - s</math>      <math>M_1^j M_2^j M_3^j \leftarrow M^j</math> of lengths <math>m, n, s</math>      <math>C_1^j C_2^j C_3^j \leftarrow C^j</math> of lengths <math>m, n, s</math>      Let <math>i \in [1..j]</math> be smallest index s.t. <math>M_2^j = M_2^i</math>      If <math>i &lt; j</math> then <math>M_4^j \leftarrow M_4^i</math>; <math>M_5^j \leftarrow M_5^i</math>      Else <math>M_4^j M_5^j \xleftarrow{\\$} \{0, 1\}^n</math> of lengths <math>n - s, s</math>      <math>DE \xleftarrow{\\$} (j, \text{EM}_2, M_2^j)</math>      <math>RE \xleftarrow{\\$} (j, \text{EM}_{45}, M_4^j \parallel M_5^j)</math>      <math>M_6^j M_7^j \leftarrow \text{mix}(M_5^j, M_3^j)</math> of lengths <math>s, s</math>      <math>C_{4!}^j \xleftarrow{\\$} \{0, 1\}^{n-s}</math>; <math>C_6^j \leftarrow \text{mix}_R^{-1}(C_3^j, M_7^j)</math>      <math>\mathcal{DE} \xleftarrow{\\$} (j, \text{EM}_{146}, M_1^j \parallel \text{flip1}(M_4^j) \parallel M_6^j)</math>      <math>\mathcal{RE} \xleftarrow{\\$} (j, \text{EC}_{146}, C_1^j \parallel C_{4!}^j \parallel C_6^j)</math>      <math>C_5^j \leftarrow \text{mix}_L(C_6^j, M_7^j)</math>      <math>DE \xleftarrow{\\$} (j, \text{EC}_{45}, \text{flip1}(C_{4!}^j) \parallel C_5^j)</math>      <math>RE \xleftarrow{\\$} (j, \text{EC}_2, C_2^j)</math></p>	<p style="text-align: right;"><b>G3</b></p> <p><b>procedure</b> Dec(<math>C</math>)  <math>k \leftarrow k + 1</math>; <math>C^k \leftarrow C</math>  <math>ty^k \leftarrow \text{Dec}</math>; <math>M^k \xleftarrow{\\$} \{0, 1\}^{ C^k }</math>; Return <math>M^k</math></p> <p>If <math>ty^j = \text{Dec}</math> and <math>C^j \in \mathcal{M}</math> then    <math>\mathcal{DE} \xleftarrow{\\$} (j, \text{DM}, M^j)</math>    <math>\mathcal{RE} \xleftarrow{\\$} (j, \text{DC}, C^j)</math>    Else If <math>ty^j = \text{Dec}</math> and <math>C^j \notin \mathcal{M}</math> then      Let <math>s</math> be smallest number s.t. <math>\{0, 1\}^{ C^j  - s} \in \mathcal{M}</math>      <math>m \leftarrow  C^j  - n - s</math>      <math>M_1^j M_2^j M_3^j \leftarrow M^j</math> of lengths <math>m, n, s</math>      <math>C_1^j C_2^j C_3^j \leftarrow C^j</math> of lengths <math>m, n, s</math>      Let <math>i \in [1..j]</math> be smallest index s.t. <math>C_2^j = C_2^i</math>      If <math>i &lt; j</math> then <math>C_4^j \leftarrow C_4^i</math>; <math>C_5^j \leftarrow C_5^i</math>      Else <math>C_4^j C_5^j \xleftarrow{\\$} \{0, 1\}^n</math> of lengths <math>n - s, s</math>      <math>DE \xleftarrow{\\$} (j, \text{DC}_{45}, C_4^j \parallel C_5^j)</math>      <math>RE \xleftarrow{\\$} (j, \text{DC}_2, C_2^j)</math>      <math>C_6^j M_7^j \leftarrow \text{mix}(C_5^j, C_3^j)</math> of lengths <math>s, s</math>      <math>M_{4!}^j \xleftarrow{\\$} \{0, 1\}^{n-s}</math>; <math>M_6^j \leftarrow \text{mix}_R^{-1}(M_3^j, M_7^j)</math>      <math>\mathcal{DE} \xleftarrow{\\$} (j, \text{DM}_{146}, M_1^j \parallel M_{4!}^j \parallel M_6^j)</math>      <math>\mathcal{RE} \xleftarrow{\\$} (j, \text{DC}_{146}, C_1^j \parallel \text{flip1}(C_4^j) \parallel C_6^j)</math>      <math>M_5^j \leftarrow \text{mix}_L(M_6^j, M_7^j)</math>      <math>DE \xleftarrow{\\$} (j, \text{DM}_2, M_2^j)</math>      <math>RE \xleftarrow{\\$} (j, \text{DM}_{45}, \text{flip1}(M_{4!}^j) \parallel M_5^j)</math></p> <p><i>bad</i> <math>\leftarrow</math> (a nontrivial collision occurs in <math>\mathcal{DE}</math> or in <math>\mathcal{RE}</math> or in <math>DE</math> or in <math>RE</math>)</p>
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Figure 5: Game G3. Lemma 2. Initially,  $\mathcal{DE}, \mathcal{RE}, DE, RE$  are empty and  $k = 0$ . Enciphering and deciphering queries are answered by random bits. After all queries have been asked the internal variables are determined for each query and the flag *bad* is set if any of  $\mathcal{DE}, \mathcal{RE}, DE, RE$  contains a nontrivial collision.

mixing permutation, in particular because  $\text{mix}_R(\cdot, B)$  is a permutation for any appropriate value  $B$ . We use the inverse of  $\text{mix}_R(\cdot, B)$ , which we write as  $\text{mix}_R^{-1}(\cdot, B)$ , to calculate the values as needed. (For example, we have that  $\text{mix}_R^{-1}(A, B) = B \oplus \text{ror}(B \oplus A)$  where  $\text{ror}$  is right circular rotation by one bit.) The values  $C_6^j$  and  $M_6^j$  therefore also inherit the distributions of  $C_3^j$  and  $M_3^j$ , respectively. All the variables in G3 are distributed just like they were in G2, justifying that

$$\Pr[\mathcal{A}^{\text{G2}} \text{ causes non-trivial collision}] = \Pr[\mathcal{A}^{\text{G3}} \text{ sets } bad]. \quad (5)$$

**(Game G4; Fig. 6)** In the last game, G4, we move to a non-interactive setting in which the adversary specifies a transcript  $\tau = (\mathbf{M}, \mathbf{C}, \mathbf{ty})$  where  $\mathbf{M} = \{M^1, M^2, \dots, M^q\}$ ,  $\mathbf{C} = \{C^1, C^2, \dots, C^q\}$ , and  $\mathbf{ty} = \{ty^1, ty^2, \dots, ty^q\}$ . (Recall that  $\mathcal{A}$  is not allowed to specify pointless queries.) Otherwise, the game G4 is identical to the finalization portion of game G3. From now on we assume that  $\mathcal{A}$  utilizes a fixed transcript  $\tau$  that maximizes the probability of the flag *bad* being set. Thus

$$\Pr[\mathcal{A}^{\text{G3}} \text{ sets } bad] \leq \Pr[\mathcal{A}^{\text{G4}} \text{ sets } bad]. \quad (6)$$

Now we bound the probability of *bad* being set in G4 using a union bound and bounds on the probability of nontrivial collisions occurring in each of the sets  $DE, RE, \mathcal{DE}, \mathcal{RE}$ :

$$\begin{aligned} \Pr[\mathcal{A}^{\text{G4}} \text{ sets flag } bad] &\leq \Pr[\text{nontrivial collision in } DE] + \Pr[\text{nontrivial collision in } RE] + \\ &\quad \Pr[\text{nontrivial collision in } \mathcal{DE}] + \Pr[\text{nontrivial collision in } \mathcal{RE}]. \end{aligned} \quad (7)$$

procedure Finalize	G4
For $j \leftarrow 1$ to $q$ do	
If $ty^j = \text{Enc}$ and $M^j \in \mathcal{M}$ then	If $ty^j = \text{Dec}$ and $C^j \in \mathcal{M}$ then
$\mathcal{DE} \stackrel{\leftarrow}{\leftarrow} (j, \text{EM}, M^j)$	$\mathcal{DE} \stackrel{\leftarrow}{\leftarrow} (j, \text{DM}, M^j)$
$\mathcal{RE} \stackrel{\leftarrow}{\leftarrow} (j, \text{EC}, C^j)$	$\mathcal{RE} \stackrel{\leftarrow}{\leftarrow} (j, \text{DC}, C^j)$
Else If $ty^j = \text{Enc}$ and $M^j \notin \mathcal{M}$ then	Else If $ty^j = \text{Dec}$ and $C^j \notin \mathcal{M}$ then
Let $s$ be smallest number s.t. $\{0, 1\}^{ \mathbf{M}^j  - s} \in \mathcal{M}$	Let $s$ be smallest number s.t. $\{0, 1\}^{ \mathbf{C}^j  - s} \in \mathcal{M}$
$m \leftarrow  \mathbf{M}^j  - n - s$	$m \leftarrow  \mathbf{C}^j  - n - s$
$M_1^j M_2^j M_3^j \leftarrow M^j$ of lengths $m, n, s$	$M_1^j M_2^j M_3^j \leftarrow M^j$ of lengths $m, n, s$
$C_1^j C_2^j C_3^j \leftarrow C^j$ of lengths $m, n, s$	$C_1^j C_2^j C_3^j \leftarrow C^j$ of lengths $m, n, s$
Let $i \in [1..j]$ be smallest index s.t. $M_2^i = M_2^j$	Let $i \in [1..j]$ be smallest index s.t. $C_2^i = C_2^j$
If $i < j$ then $M_4^j \leftarrow M_4^i; M_5^j \leftarrow M_5^i$	If $i < j$ then $C_4^j \leftarrow C_4^i; C_5^j \leftarrow C_5^i$
Else $M_4^j M_5^j \stackrel{\$}{\leftarrow} \{0, 1\}^n$ of lengths $n - s, s$	Else $C_4^j C_5^j \stackrel{\$}{\leftarrow} \{0, 1\}^n$ of lengths $n - s, s$
$DE \stackrel{\leftarrow}{\leftarrow} (j, \text{EM}_2, M_2^j)$	$DE \stackrel{\leftarrow}{\leftarrow} (j, \text{DC}_{45}, C_4^j \parallel C_5^j)$
$RE \stackrel{\leftarrow}{\leftarrow} (j, \text{EM}_{45}, M_4^j \parallel M_5^j)$	$RE \stackrel{\leftarrow}{\leftarrow} (j, \text{DC}_2, C_2^j)$
$M_6^j M_7^j \leftarrow \text{mix}(M_5^j, M_3^j)$ of lengths $s, s$	$C_6^j M_7^j \leftarrow \text{mix}(C_5^j, C_3^j)$ of lengths $s, s$
$C_{4!}^j \stackrel{\$}{\leftarrow} \{0, 1\}^{n-s}; C_6^j \leftarrow \text{mix}_R^{-1}(C_3^j, M_7^j)$	$M_{4!}^j \stackrel{\$}{\leftarrow} \{0, 1\}^{n-s}; M_6^j \leftarrow \text{mix}_R^{-1}(M_3^j, M_7^j)$
$\mathcal{DE} \stackrel{\leftarrow}{\leftarrow} (j, \text{EM}_{146}, M_1^j \parallel \text{flip1}(M_4^j) \parallel M_6^j)$	$\mathcal{DE} \stackrel{\leftarrow}{\leftarrow} (j, \text{DM}_{146}, M_1^j \parallel M_{4!}^j \parallel M_6^j)$
$\mathcal{RE} \stackrel{\leftarrow}{\leftarrow} (j, \text{EC}_{146}, C_1^j \parallel C_{4!}^j \parallel C_6^j)$	$\mathcal{RE} \stackrel{\leftarrow}{\leftarrow} (j, \text{DC}_{146}, C_1^j \parallel \text{flip1}(C_4^j) \parallel C_6^j)$
$C_5^j \leftarrow \text{mix}_L(C_6^j, M_7^j)$	$M_5^j \leftarrow \text{mix}_L(M_6^j, M_7^j)$
$DE \stackrel{\leftarrow}{\leftarrow} (j, \text{EC}_{45}, \text{flip1}(C_{4!}^j) \parallel C_5^j)$	$DE \stackrel{\leftarrow}{\leftarrow} (j, \text{DM}_2, M_2^j)$
$RE \stackrel{\leftarrow}{\leftarrow} (j, \text{EC}_2, C_2^j)$	$RE \stackrel{\leftarrow}{\leftarrow} (j, \text{DM}_{45}, \text{flip1}(M_{4!}^j) \parallel M_5^j)$
$bad \leftarrow$ (a nontrivial collision occurs in $\mathcal{DE}$ or in $\mathcal{RE}$ or in $DE$ or in $RE$ )	

Figure 6: Game G4 used in the proof of Lemma 2. Initially the sets  $\mathcal{DE}, \mathcal{RE}, DE, RE$  are empty. This game is non-interactive: the adversary specifies a fixed transcript  $\tau = (\mathbf{M}, \mathbf{C}, \mathbf{ty})$  and the game determines whether the flag  $bad$  should be set for the transcript.

We handle each term by a separate claim. In proving the following claims we use the fact that the adversary  $\mathcal{A}$  has no knowledge of the random choices made in game G4 — they are all made after the transcript has been specified.

**Claim 5**  $\Pr[\text{nontrivial collision in } DE] \leq q^2/2^n$ .  $\square$

**Proof:** Nontrivial collisions within  $DE$  are pairs of tuples  $(i, L, S), (j, \text{EM}_2, M_2^j)$  where  $L$  is necessarily either  $\text{EC}_{45}$  or  $\text{DC}_{45}$  ( $L$  can not be  $\text{EM}_2$  or  $\text{DM}_2$  because the structure of the game would not allow the second tuple to then be added.) We handle each case in turn, marking the arguments for each with a triangle.

$\triangleright$  Let  $(i, \text{EC}_{45}, S)$  and  $(j, \text{EM}_2, M_2^j)$  be tuples in  $DE$  and let  $s = |\mathbf{M}_3^i|$ . Then  $S = \text{flip1}(C_{4!}^i) \parallel C_5^i$ . Here  $C_{4!}^i$  is uniformly selected from  $\{0, 1\}^{n-s}$  and (as we show in a moment)  $C_5^i$  is uniformly selected from  $\{0, 1\}^s$ . Thus, the probability that  $S = M_2^j$  is at most  $1/2^n$ . Now to show that  $C_5^i$  is uniform. We have that

$$C_5^i = \text{mix}_L(C_6^i, M_7^i) = \text{mix}_L(C_6^i, \text{mix}_R(M_5^i, M_3^i))$$

In the right hand side of these equivalences, we have that  $M_5^i$  is uniformly chosen, and thus by Definition 1 part 2 ( $\text{mix}_R(\cdot, B)$  is a permutation) the output of  $\text{mix}_R(M_5^i, M_3^i)$  is uniform. In turn,  $\text{mix}_L(C_6^i, \text{mix}_R(M_5^i, M_3^i)) = C_5^i$  is uniform, by Definition 1 part 1 ( $\text{mix}_L(A, \cdot)$  is a permutation).

$\triangleright$  Let  $(i, \text{DC}_{45}, S)$  and  $(j, \text{EM}_2, M_2^j)$  be tuples in  $DE$ . Then  $S = C_4^i \parallel C_5^i$  which is a string of  $n$  random bits. The probability that  $S = M_2^j$  is therefore  $1/2^n$ .

Since there are at most  $q$  tuples  $(i, L, S)$  with  $L \in \{\text{EC}_{45}, \text{DC}_{45}\}$  and at most  $q$  tuples of the form  $(j, \text{EM}_2, M_2^j)$ , the total probability of a nontrivial collision in  $DE$  is at most  $q^2/2^n$ .  $\blacksquare$

**Claim 6**  $\Pr[\text{nontrivial collision in } RE] \leq q^2/2^n$ .  $\square$

**Proof:** Let  $(i, L, S), (j, DC_2, \mathcal{C}_2^j) \in RE$  where necessarily  $L \in \{EM_{45}, DM_{45}\}$  (as in the previous claim, the game would not allow the tuples to both be added to  $RE$  if  $L = \{EC_2, DC_2\}$ ).

$\triangleright$  Let  $(i, EM_{45}, S)$  and  $(j, DC_2, \mathcal{C}_2^j)$  be tuples in  $RE$ . Then  $S = M_4^i \parallel M_5^i$  which is a string of  $n$  random bits and equals  $\mathcal{C}_2^j$  with probability at most  $1/2^n$ .

$\triangleright$  Let  $(i, DM_{45}, S)$  and  $(j, DC_2, \mathcal{C}_2^j)$  be tuples in  $RE$  and let  $s = |M_3^i|$ . Then  $S = \text{flip1}(M_{41}^i) \parallel M_5^i$ . Here  $M_{41}^i$  is uniformly selected from  $\{0, 1\}^{n-s}$  and (as we justify in a moment)  $M_5^i$  is uniformly selected from  $\{0, 1\}^s$ . The probability that  $S = \mathcal{C}_2^j$  is therefore at most  $1/2^n$ . Now to show that  $M_5^i$  is uniform. We have that

$$M_5^i = \text{mix}_L(M_6^i, \text{mix}_R(C_5^i, \mathcal{C}_3^i)).$$

In the right hand side of these equivalences, we have that  $C_5^i$  is uniformly chosen, and thus by Definition 1 part 2 ( $\text{mix}_R(\cdot, B)$  is a permutation) the output of  $\text{mix}_R(C_5^i, \mathcal{C}_3^i)$  is uniform. In turn,  $\text{mix}_L(M_6^i, \text{mix}_R(C_5^i, \mathcal{C}_3^i)) = C_5^i$  is uniform, by Definition 1 part 1 ( $\text{mix}_L(A, \cdot)$  is a permutation).

Since there are at most  $q$  tuples  $(i, L, S)$  with  $L \in \{EM_{45}, DM_{45}\}$  and at most  $q$  tuples of the form  $(j, DC_2, \mathcal{C}_2^j)$ , the total probability of a nontrivial collision in  $RE$  is at most  $q^2/2^n$ .  $\blacksquare$

**Claim 7**  $\Pr[\text{nontrivial collision in } \mathcal{DE}] \leq q^2 \epsilon(s)/2^{n-s+1}$  for any  $s \in [1..n-1]$ .  $\square$

**Proof:** A nontrivial collision in  $\mathcal{DE}$  can occur between two tuples  $(i, L, S)$  and  $(j, L', S')$  where  $L \in \{EM, DM, EM_{146}, DM_{146}\}$  and  $L' \in \{EM, EM_{146}\}$ . There are actually only six cases to consider, since if  $L' = EM$ , a collision with  $L \in \{EM, DM\}$  would actually imply a pointless query. We now look at the six cases in turn.

$\triangleright$  Let  $(i, EM, M^i)$  and  $(j, EM_{146}, S')$  be in  $\mathcal{DE}$  and let  $s = |M_3^j|$  and for ease of exposition let  $M^i = M_1 \parallel M_2 \parallel \mathbf{C}$  where  $|M_1| = |M^i| - n$ ,  $|M_2| = n - s$ , and  $|\mathbf{C}| = s$ . We have that  $S' = M_1^j \parallel \text{flip1}(M_4^j) \parallel M_6^j$ . Here  $M_4^j$  is uniformly selected from  $\{0, 1\}^{n-s}$  and thus the probability that  $\text{flip1}(M_4^j) = M_2$  is at most  $1/2^{n-s}$ . We also have that  $M_6^j = \text{mix}_L(M_5^j, M_7^j)$  where  $M_5^j$  is selected uniformly. By Definition 1 part 3, we have that the probability of  $\mathbf{C} = \text{mix}_L(M_5^j, M_7^j) = M_6^j$  is at most  $\epsilon(s)$ , where  $s = |M_6^j|$ . Since  $M_4^j$  and  $M_5^j$  are independently chosen, we have that the probability that  $S' = M^i$  is at most  $\epsilon(s)/2^{n-s}$ .

$\triangleright$  Let  $(i, DM, M^i)$  and  $(j, EM_{146}, S')$  be in  $\mathcal{DE}$ . This case is the same as the previous case, and so bound by  $\epsilon(s)/2^{n-s}$ .

$\triangleright$  Let  $(i, EM_{146}, S)$  and  $(j, EM_{146}, S')$  be in  $\mathcal{DE}$ . and let  $s^i = |M_3^i|$  and let  $s^j = |M_3^j|$ . For a collision to occur we clearly must have  $|M^i| - s^i = |M^j| - s^j$  and so we assume this to be the case. We break down the argument down further:

- First consider when  $M_2^i \neq M_2^j$ . Then we note that  $M_4^i, M_5^i, M_4^j,$  and  $M_5^j$  are all independently and uniformly chosen at random. Let  $S' = M_1^j \parallel \text{flip1}(M_4^j) \parallel M_6^j$ . Let  $S = M_1^i \parallel M_2 \parallel \mathbf{C}$  where  $|M_1^i| = |M^i| - n$ ,  $|M_2| = n - s^j$ , and  $|\mathbf{C}| = s^j$  (that is, we parse the last  $n$  bits of  $S$  according to the way it will line up against  $\text{flip1}(M_4^j)$  and  $M_6^j$ ). Now the probability that  $M_2 = \text{flip1}(M_4^j)$  is  $1/2^{n-s^j}$  and the probability that  $\mathbf{C} = M_6^j = \text{mix}_L(M_5^j, M_3^j)$  is at most  $\epsilon(s^j)$  as given by Definition 1 part 3. The probabilities are independent and so a collision in this situation happens with probability no greater than  $\epsilon(s^j)/2^{n-s^j}$ .
- Now consider when  $M_2^j = M_2^i$ . We show that the probability of a collision in this situation is zero. First consider if  $s \equiv s^i = s^j$ . Then we have that  $M_4^i = M_4^j$  and  $M_5^i = M_5^j$ . For a collision to occur it must be that  $M_1^i = M_1^j$  and  $M_6^i = M_6^j$ . But because of the permutivity of  $\text{mix}_L(A, \cdot)$  (Definition 1 part 1) this last equivalence implies that  $M_3^i = M_3^j$ . In turn this means

that  $M^i = M^j$ , which would make query  $j$  pointless and therefore we have a contradiction and this can not occur. Second, without loss of generality, assume  $s^i < s^j$ . Then we note that  $S$  can not equal  $S'$  because `flip1` ensures that  $S \llbracket |M^i| - s^i - s^j - 1 \rrbracket \neq S' \llbracket |M^j| - 2s^j - 1 \rrbracket$ .

So the probability of a collision between these types of tuples is at most  $\epsilon(s^j)/2^{n-s^j}$ .

▷ Let  $(i, \text{DM}_{146}, S)$  and  $(j, \text{EM}_{146}, S')$  be in  $\mathcal{DE}$ . Let  $s^j = |M_3^j|$ . Then  $S' = M_1^j \parallel \text{flip1}(M_4^j) \parallel M_6^j$ , where  $M_6^j = \text{mix}_L(M_5^j, M_3^j)$ . Both  $M_4^j$  and  $M_5^j$  are uniformly selected from  $\{0, 1\}^{n-s^j}$  and  $\{0, 1\}^{s^j}$ , respectively. Thus the probability that  $S = S'$  is at most  $\epsilon(s^j)/2^{n-s^j}$ , where we apply Definition 1 part 3 in the usual way.

▷ Let  $(i, \text{EM}_{146}, S)$  and  $(j, \text{EM}, M^j)$  be in  $\mathcal{DE}$ . The probability that  $S = M^j$  is at most  $\epsilon(s)/2^{n-s}$  for  $s = |M^j|$ . the usual application of Definition 1 part 3.

▷ Let  $(i, \text{DM}_{146}, S)$  and  $(j, \text{EM}, M^j)$  be in  $\mathcal{DE}$ . Let  $s = |M_3^i|$ . We have that  $S = M_1^i \parallel M_{41}^i \parallel M_6^i$  where  $M_{41}^i$  is uniformly selected from  $\{0, 1\}^{n-s}$  and  $M_6^i = \text{mix}_R^{-1}(M_7^i, M_3^i) = \text{mix}_R^{-1}(\text{mix}_L(C_5^i, C_3^i), M_3^i)$  where  $C_5^i$  is uniformly selected from  $\{0, 1\}^s$ . By Definition 1 parts 1 and 2, we have that  $M_6^i$  is also distributed uniformly over  $\{0, 1\}^s$ . Thus the probability of  $S = S'$  is at most  $1/2^n$ .

In all cases the probability of a collision is at most  $\epsilon(s)/2^{n-s}$  where  $s \in [1..n-1]$  (necessarily  $\epsilon(s)/2^{n-s} \geq 1/2^n$ , for any  $s$ ). As  $|\mathcal{DE}| = q$  the probability of a collision in  $\mathcal{DE}$  is at most

$$\binom{q}{2} \frac{\epsilon(s)}{2^{n-s}} \leq \frac{q^2 \epsilon(s)}{2^{n-s+1}}. \quad \blacksquare$$

**Claim 8**  $\Pr[\text{nontrivial collision in } \mathcal{RE}] \leq q^2 \epsilon(s)/2^{n-s+1}$  for any  $s \in [1..n-1]$ .  $\square$

**Proof:** The proof is symmetric to the proof of Lemma 7.  $\blacksquare$

Combining Claims 5, 6, 7, and 8 with Equation 7 yields that

$$\Pr[A^{\text{G4}} \text{ sets } bad] \leq \frac{q^2 \epsilon(s)}{2^{n-s}} + \frac{2q^2}{2^n}$$

and combining this with Equations 3, 4, 5 and 6 we get that

$$\begin{aligned} \Pr[A^{\text{G1}} \text{ sets } bad] = \Pr[A^{\text{G2}} \text{ sets } bad] &\leq \Pr[A^{\text{G2}} \text{ causes trivial collision}] + \\ &\quad \Pr[A^{\text{G2}} \text{ causes nontrivial collision}] \\ &\leq \frac{4q^2 \epsilon(s)}{2^{n-s}} + q^2 2^{-n} + \Pr[A^{\text{G3}} \text{ sets } bad] \\ &= \frac{4q^2 \epsilon(s)}{2^{n-s}} + q^2 2^{-n} + \Pr[A^{\text{G4}} \text{ sets } bad] \\ &\leq \frac{5q^2 \epsilon(s)}{2^{n-s}} + 3q^2 2^{-n} \end{aligned}$$

which completes our proof of Lemma 2.

## 8 Supporting Tweaks

A *tweakable cipher* [15, 16] is a function  $\tilde{\mathcal{E}}: \mathcal{K}_{\mathcal{E}} \times \mathcal{T} \times \mathcal{M} \rightarrow \mathcal{M}$  where  $\mathcal{K}_{\mathcal{E}} \neq \emptyset$  is the key space,  $\mathcal{T} \neq \emptyset$  is the tweak space, and  $\mathcal{M}$  is the message space. We require that  $\tilde{\mathcal{E}}_K^T(\cdot)$  is a length-preserving permutation for all  $K \in \mathcal{K}_{\mathcal{E}}$  and  $T \in \mathcal{T}$ . We write the inverse of  $\tilde{\mathcal{E}}$  as  $\tilde{\mathcal{D}}$ . A tweakable blockcipher is a tweakable cipher with  $\mathcal{M} = \{0, 1\}^n$  for some fixed  $n$ . Tweakable ciphers are useful tools for building higher-level protocols. The tweak of a cipher can be used as, for example, a sector index.

The security of a tweakable cipher is based on indistinguishability of the scheme and a tweakable random permutation. More formally, we define the following advantages

$$\begin{aligned}\mathbf{Adv}_{\tilde{\mathcal{E}}}^{\pm\text{prp}}(\mathcal{A}) &= \Pr\left[K \stackrel{\$}{\leftarrow} \mathcal{K}_{\mathcal{E}} : \mathcal{A}^{\tilde{\mathcal{E}}_K, \tilde{\mathcal{D}}_K} \Rightarrow 1\right] - \Pr\left[\tilde{\pi} \stackrel{\$}{\leftarrow} \text{Perm}^{\mathcal{T}}(\mathcal{M}) : \mathcal{A}^{\tilde{\pi}, \tilde{\pi}^{-1}} \Rightarrow 1\right] \\ \mathbf{Adv}_{\tilde{\mathcal{E}}}^{\pm\text{prf}}(\mathcal{A}) &= \Pr\left[K \stackrel{\$}{\leftarrow} \mathcal{K}_{\mathcal{E}} : \mathcal{A}^{\tilde{\mathcal{E}}_K, \tilde{\mathcal{D}}_K} \Rightarrow 1\right] - \Pr\left[\rho, \sigma \stackrel{\$}{\leftarrow} \text{Func}^{\mathcal{T}}(\mathcal{M}) : \mathcal{A}^{\rho, \sigma} \Rightarrow 1\right]\end{aligned}$$

where the probabilities are over the choice of  $K$  or  $\tilde{\pi}$  (resp.  $\rho, \sigma$ ) and the coins used by  $\mathcal{A}$ . Here  $\text{Perm}^{\mathcal{T}}(\mathcal{M})$  is the set of all functions  $\tilde{\pi}: \mathcal{T} \times \mathcal{M} \rightarrow \mathcal{M}$  where  $\tilde{\pi}(T, \cdot)$  is a length-preserving permutation and  $\text{Func}^{\mathcal{T}}(\mathcal{M})$  is the set of all functions  $\rho: \mathcal{T} \times \mathcal{M} \rightarrow \mathcal{M}$  where  $\rho(T, \cdot)$  is length-preserving.

**XLS AND TWEAKS.** The XLS construction works for tweaks. By this we mean that if the cipher  $\mathcal{E}$  utilized in XLS is tweakable, then the resulting cipher with the enlarged message space is also tweakable. More specifically, fix an  $\epsilon(s)$ -good mixing function. If we construct  $\tilde{\mathcal{E}}^* = \text{XLS}[\text{mix}, \tilde{\mathcal{E}}, E]$  where  $\tilde{\mathcal{E}}: \mathcal{K}_{\mathcal{E}} \times \mathcal{T} \times \mathcal{M} \rightarrow \mathcal{M}$  is a tweakable cipher and  $E: \mathcal{K}_E \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  is a conventional blockcipher then the resulting scheme  $\tilde{\mathcal{E}}^*$  is tweakable with tweak space  $\mathcal{T}$  and enlarged message space  $\mathcal{M}^* = \mathcal{M} \parallel \{0, 1\}^{<n}$ . Here XLS is implicitly changed by adding the tweak  $T$  as a superscript to  $\mathcal{E}$  on lines 00 and 06 of Figure 1. The following theorem statements, which are analogous to Theorem 2 and Theorem 3, establish the security of XLS with tweaks.

**Theorem 9** *Fix  $n$  and an  $\epsilon(s)$ -good mixing function  $\text{mix}$ . Let  $\mathcal{M} \subset \{0, 1\}^{\geq n}$ , let  $\mathcal{T}$  be a nonempty set, and let  $\tilde{\mathcal{E}}^* = \text{XLS}[\text{mix}, \text{Perm}^{\mathcal{T}}(\mathcal{M}), \text{Perm}(n)]$ . Then for any adversary  $\mathcal{A}$  that asks at most  $q$  queries we have that  $\mathbf{Adv}_{\tilde{\mathcal{E}}^*}^{\pm\text{prf}}(\mathcal{A}) \leq 5q^2 \epsilon(s)/2^{n-s} + 3q^2/2^n$  for any  $s \in [1..n-1]$ , and so, by Lemma 1*

$$\mathbf{Adv}_{\tilde{\mathcal{E}}^*}^{\pm\text{prf}}(\mathcal{A}) \leq \frac{8q^2}{2^n} \quad \text{and} \quad \mathbf{Adv}_{\tilde{\mathcal{E}}^*}^{\pm\text{prf}}(\mathcal{A}) \leq \frac{13q^2}{2^n}$$

for  $\text{mix} = \text{mix1}$  and  $\text{mix} = \text{mix2}$ , respectively.  $\square$

To prove the theorem, we can modify the proofs of Theorem 2 and Lemma 2 as follows. Replace  $\mathcal{E}$  with  $\tilde{\mathcal{E}}$  throughout. Modify all the games in both proofs so that enciphering and deciphering queries take a tweak. In games G0 and G1 lazily build separate random length-preserving functions  $\tilde{\mathcal{E}}$  for each tweak queried (in G0 they are permutations while in G1 they are just functions). In games G2, G3, and G4, use distinct sets  $\mathcal{DE}$  and  $\mathcal{RE}$  for each tweak; collisions can then only occur within a set (i.e., for the same tweak). The rest of the proof proceeds in the natural way. The case analysis bounds the probability of collisions in any of the specified sets, and the size of the sets  $\mathcal{DE}$  and  $\mathcal{RE}$  will be maximized if all queries utilize the same tweak. Combining Theorem 2 with a standard hybrid argument proves the next theorem.

**Theorem 10** *Fix  $n$  and an  $\epsilon(s)$ -good mixing function  $\text{mix}$ . Let  $\tilde{\mathcal{E}}: \mathcal{K}_{\mathcal{E}} \times \mathcal{T} \times \mathcal{M} \rightarrow \mathcal{M}$  be a tweakable cipher and  $E: \mathcal{K}_E \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  be a blockcipher. Let  $\mathcal{E}^* = \text{XLS}[\text{mix}, \tilde{\mathcal{E}}, E]$  and let  $\mathcal{A}$  be an adversary that runs in time  $t$  and asks at most  $q$  queries, with maximal query length being  $\mu$  bits. Then there exists adversaries  $\mathcal{B}$  and  $\mathcal{C}$  and an absolute constant  $c$  such that  $\mathbf{Adv}_{\tilde{\mathcal{E}}^*}^{\pm\text{prp}}(\mathcal{A}) \leq \mathbf{Adv}_{\tilde{\mathcal{E}}}^{\pm\text{prp}}(\mathcal{B}) + \mathbf{Adv}_E^{\pm\text{prp}}(\mathcal{C}) + 5q^2 \epsilon(s)/2^{n-s} + 4q^2/2^n$  where  $s \in [0..n-1]$ , and so, by Lemma 1*

$$\mathbf{Adv}_{\tilde{\mathcal{E}}^*}^{\pm\text{prp}}(\mathcal{A}) \leq \mathbf{Adv}_{\tilde{\mathcal{E}}}^{\pm\text{prp}}(\mathcal{B}) + \mathbf{Adv}_E^{\pm\text{prp}}(\mathcal{C}) + \frac{9q^2}{2^n}$$

when  $\text{mix} = \text{mix1}$ , and the same expression, but with the 9 replaced by 14, when  $\text{mix} = \text{mix2}$ . Here  $\mathcal{B}$  runs in time  $t_B = t + c\mu q \log q$  and asks  $q_B = q$  queries, none longer than  $\mu$ , and where  $\mathcal{C}$  runs in time  $t_C \leq t + (q+1) \cdot \text{Time}_{\tilde{\mathcal{E}}}(\mu) + c\mu q$  and asks  $q_C \leq 2q$  queries, each of length at most  $n$ .  $\square$

The proof is a straightforward modification of the proof of Theorem 3. Replace  $\mathcal{E}$  with a tweakable enciphering scheme  $\tilde{\mathcal{E}}$  throughout the proof. Replace the intermediate values of the hybrid argument with: (1)  $F = \text{XLS}[\text{mix}, \tilde{\mathcal{E}}, E]$  and  $G = F^{-1}$ ; (2)  $F = \text{XLS}[\text{mix}, \tilde{\mathcal{E}}, \text{Perm}(n)]$  and  $G = F^{-1}$ ; (3)  $F = \text{XLS}[\text{mix}, \text{Perm}^T(\mathcal{M}), \text{Perm}(n)]$  and  $G = F^{-1}$ ; (4)  $F = \text{Func}^T(\mathcal{M}^*)$  and  $G = \text{Func}^T(\mathcal{M}^*)$ ; and (5)  $F = \text{Perm}^T(\mathcal{M}^*)$  and  $G = F^{-1}$ . The bound of  $p_{23}$  is changed to  $\text{Adv}_{\tilde{\mathcal{E}}}^{\pm\text{PRP}}(\mathcal{B})$  and justified in the straightforward way, while the bound of  $p_{34}$  is given by Theorem 9.

Note that the concrete security bounds are the same in both the tweaked and untweaked settings. Intuitively this is because having a tweakable family of permutations is a stronger primitive than a normal family of permutations, and the adversary might as well just focus its attack on a single tweak.

## 9 XLS with Ordinary PRPs

If we apply the XLS construction to cipher  $\tilde{\mathcal{E}}$  and blockcipher  $E$  that are both secure as (ordinary) pseudorandom permutations (i.e., adversaries are restricted to chosen-plaintext attacks), then the resulting cipher with expanded message space is also secure as a PRP. More formally, we define the following advantage

$$\text{Adv}_{\tilde{\mathcal{E}}}^{\widetilde{\text{PRP}}}(\mathcal{A}) = \Pr\left[K \stackrel{\$}{\leftarrow} \mathcal{K}_{\tilde{\mathcal{E}}} : \mathcal{A}^{\tilde{K}} \Rightarrow 1\right] - \Pr\left[\tilde{\pi} \stackrel{\$}{\leftarrow} \text{Perm}^T(\mathcal{M}) : \mathcal{A}^{\tilde{\pi}} \Rightarrow 1\right]$$

where the probability is over the random choice of  $K$  or  $\pi$  and the random coins used by  $\mathcal{A}$ . While the theorems from Section 8 do not imply the security of XLS using ordinary (tweaked) PRPs, their proofs can be (simplified) in a straightforward manner to derive the PRP security of XLS as captured by the next theorem statement. We omit the details.

**Theorem 11** *Fix  $n$  and an  $\epsilon(s)$ -good mixing function  $\text{mix}$ . Let  $\tilde{\mathcal{E}}: \mathcal{K}_{\tilde{\mathcal{E}}} \times \mathcal{T} \times \mathcal{M} \rightarrow \mathcal{M}$  be a tweakable cipher and  $E: \mathcal{K}_E \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  be a blockcipher. Let  $\mathcal{E}^* = \text{XLS}[\text{mix}, \tilde{\mathcal{E}}, E]$  and let  $\mathcal{A}$  be an adversary that runs in time  $t$  and asks at most  $q$  queries, with maximal query length being  $\mu$  bits. Then there exists adversaries  $\mathcal{B}$  and  $\mathcal{C}$  and an absolute constant  $c$  such that  $\text{Adv}_{\tilde{\mathcal{E}}}^{\widetilde{\text{PRP}}}(\mathcal{A}) \leq \text{Adv}_{\tilde{\mathcal{E}}}^{\widetilde{\text{PRP}}}(\mathcal{B}) + \text{Adv}_E^{\text{PRP}}(\mathcal{C}) + 5q^2 \epsilon(s)/2^{n-s} + 4q^2/2^n$  where  $s \in [0..n-1]$ , and so, by Lemma 1*

$$\text{Adv}_{\tilde{\mathcal{E}}^*}^{\widetilde{\text{PRP}}}(\mathcal{A}) \leq \text{Adv}_{\tilde{\mathcal{E}}}^{\widetilde{\text{PRP}}}(\mathcal{B}) + \text{Adv}_E^{\text{PRP}}(\mathcal{C}) + \frac{9q^2}{2^n}$$

when  $\text{mix} = \text{mix1}$ , and the same expression, but with the 9 replaced by 14, when  $\text{mix} = \text{mix2}$ . Here  $\mathcal{B}$  runs in time  $t_B = t + c\mu q \log q$  and asks  $q_B = q$  queries, none longer than  $\mu$ , and where  $\mathcal{C}$  runs in time  $t_C \leq t + (q+1) \cdot \text{Time}_{\tilde{\mathcal{E}}}(\mu) + c\mu q$  and asks  $q_C \leq 2q$  queries, each of length at most  $n$ .  $\square$

An immediate corollary of the theorem is security of XLS for ordinary, untweaked PRPs (just set the tweak space  $\mathcal{T}$  to a single value).

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